

## Carbon and hydrogen isotopic compositions of stratospheric methane: 2. Two-dimensional model results and implications for kinetic isotope effects

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[1] New high-precision measurements of the carbon and hydrogen isotopic compositions of stratospheric CH<sub>4</sub> made on whole air samples collected aboard the NASA ER-2 aircraft are compared with results from the Lawrence Livermore National Laboratory 2-D model. Model runs incorporating sets of experimentally determined kinetic isotope effects (KIEs) for the reactions of CH<sub>4</sub> with each of the oxidants OH, O(<sup>1</sup>D), and Cl are examined with the goals of determining (1) how well the 2-D model can reproduce the observations for both the carbon and hydrogen isotopic compositions, (2) what factors are responsible for the observed increase in the apparent isotopic fractionation factors with decreasing methane mixing ratios, and (3) how sensitive the modeled isotopic compositions are to various experimentally determined KIEs. Bound by estimates of the effects of uncertainties in model chemistry and transport on isotopic compositions, we then examine the constraints the ER-2 observations place on values for the KIEs. For the carbon KIE for reaction of CH<sub>4</sub> with O(<sup>1</sup>D), for example, the analysis of model results and observations favors the larger of the experimental values, 1.013, over a value of 1.001. These analyses also suggest that intercomparisons of results from different models using a given set of KIEs may be useful as a new diagnostic of model-model differences in integrated chemistry and transport.

*INDEX TERMS:* 0317 Atmospheric Composition and Structure: Chemical kinetic and photochemical properties; 0322 Atmospheric Composition and Structure: Constituent sources and sinks; 0341 Atmospheric Composition and Structure: Middle atmosphere—constituent transport and chemistry (3334); 0365 Atmospheric Composition and Structure: Troposphere—composition and chemistry; *KEYWORDS:* methane, stratospheric methane, methane isotopes, carbon isotopes, hydrogen isotopes

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### 1. Introduction

[2] Recent fluctuations in the growth rate of atmospheric methane are not well understood [e.g., Simpson *et al.*, 2002], despite numerous model assessments of its sources and sinks over the last decade [e.g., Fung *et al.*, 1991; Hein and Crutzen, 1997; Houweling *et al.*, 1999]. Without a

quantitative understanding of how methane's sources and sinks have changed both in the last decade and over the past 200 years, extrapolating how the sources and sinks will respond to climatic changes in the future cannot be done with confidence. Since methane is a greenhouse gas that contributes about 20% of the enhanced radiative forcing relative to preindustrial times [Shine *et al.*, 1996], predictions of its atmospheric concentration are necessary to accurately model future global climate change. In addition to its role as a greenhouse gas, methane plays a major role in both tropospheric and stratospheric chemistry. In the troposphere, methane levels regulate the oxidative capacity of the atmosphere, while in the stratosphere methane is a precursor of the HO<sub>x</sub> family of free radicals that control the balance of ozone.

[3] To reduce uncertainties in the global budget of CH<sub>4</sub>, the carbon isotopic composition of tropospheric methane has been used as an additional constraint on the strengths and global distributions of the CH<sub>4</sub> sources and sinks. Various sources of CH<sub>4</sub> (e.g., animals, rice production, fossil fuels), exhibit a characteristic range of values for the ratio of <sup>13</sup>C to <sup>12</sup>C [or “δ<sup>13</sup>C” values, where δ<sup>13</sup>C = [(<sup>13</sup>C/<sup>12</sup>C)<sub>sample</sub> / (<sup>13</sup>C/<sup>12</sup>C)<sub>standard</sub> - 1] \* 1000, which is

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expressed in parts per thousand or “per mil”  $\equiv$  ‰]. The gas-phase sinks - oxidation by OH radicals, electronically excited oxygen atoms ( $O(^1D)$ ), and Cl atoms - also leave their imprint on the isotopic composition of atmospheric CH<sub>4</sub> since the reaction rate for the light isotopologue is faster than that for the heavy one, a phenomenon known as a kinetic isotope effect or “KIE.” Thus, inverse modeling of observed CH<sub>4</sub> concentrations and isotopic compositions can be used to constrain the magnitude and distribution of individual sources [e.g., *Fung et al.*, 1991; *Hein and Crutzen*, 1997]. These modeling studies depend critically on the quality and range of atmospheric observations for both the mixing ratio and isotopic composition of CH<sub>4</sub>. They also require accurate knowledge of the KIEs for the CH<sub>4</sub> sink reactions.

[4] Extensive observations of  $\delta^{13}C$ -CH<sub>4</sub> now exist in the troposphere [e.g., *Quay et al.*, 1999; *Tyler et al.*, 1999], and recent observations of  $\delta D$ -CH<sub>4</sub> [e.g., *Quay et al.*, 1999; *Rice et al.*, 2001] in the troposphere will enable additional constraints on CH<sub>4</sub> sources to the atmosphere to be obtained through modeling of tropospheric  $\delta D$ -CH<sub>4</sub>. As atmospheric observations increase in number, time, and spatial distribution, uncertainties in the inverse model results due to undersampling of the atmosphere decrease. However, several studies have shown that uncertainties in experimental KIEs, or ignoring KIEs in sink reactions which were not thought to be important, can have a significant impact on predicted isotopic compositions and, therefore, on the modeled CH<sub>4</sub> budget. For example, the small difference between measured values for the carbon KIE for the CH<sub>4</sub> + OH reaction (1.0054 by *Cantrell et al.* [1990] versus 1.0039 by *Saueressig et al.* [2001]) alters the predicted  $\delta^{13}C$ -CH<sub>4</sub> in the free troposphere by  $\sim 1\text{‰}$  [*McCarthy et al.*, 2001]; this change is comparable to the magnitude of the observed interhemispheric gradient in  $\delta^{13}C$ -CH<sub>4</sub> at the surface [*Quay et al.*, 1999]. Isotopic fractionation by reaction with Cl in the stratosphere and troposphere has also been shown to influence free tropospheric isotopic compositions [*Gupta et al.*, 1996; *Tyler et al.*, 1999; *McCarthy et al.*, 2001; *Wang et al.*, 2002]. Clearly, a better understanding of stratospheric fractionation processes and tests to determine the sensitivity of isotopic compositions to discrepancies in laboratory values for KIEs would help to identify uncertainties in the isotopic CH<sub>4</sub> budget.

[5] In this study, we compare the extensive set of new measurements of  $\delta^{13}C$ -CH<sub>4</sub> and  $\delta D$ -CH<sub>4</sub> on stratospheric CH<sub>4</sub> collected from the ER-2 aircraft [*Rice et al.*, 2003] with results from the Lawrence Livermore National Laboratory (LLNL) 2-D model. In the stratosphere, the isotopic compositions of CH<sub>4</sub> depend on the relative and absolute abundances of OH, Cl, and  $O(^1D)$ , KIEs for these sink reactions, and stratospheric transport. Despite this complexity, the ER-2 observations provide a new opportunity to investigate the roles of these three oxidants and their respective KIEs in determining the CH<sub>4</sub> isotopic compositions in a region of the atmosphere effectively isolated from both the soil sink and CH<sub>4</sub> sources at the Earth’s surface. In Part 1, a chemistry-only Rayleigh box model was used to examine the relationship between the isotopic compositions of CH<sub>4</sub> and CH<sub>4</sub> mixing ratios as the relative fractions of oxidation by reaction with OH, Cl, and  $O(^1D)$  were varied at a constant temperature of 225K. Here in Part 2, we use the

LLNL 2-D model to expand on the analysis in Part 1 with a more realistic simulation of the chemical distributions, reaction rate coefficients, and transport and mixing in the stratosphere. First, we evaluate the overall performance of the LLNL 2-D model in simulating the ER-2 observations for both  $\delta^{13}C$ -CH<sub>4</sub> and  $\delta D$ -CH<sub>4</sub>. Second, we examine different sets of experimental KIEs to determine the sensitivity of the modeled isotopic compositions to individual reactions with OH, Cl, and  $O(^1D)$ . Third, we test the interpretations of the observations from Part 1 beyond a simple Rayleigh framework using the 2-D model’s chemistry and transport. We then analyze known or estimated errors in model chemistry and transport and estimate the effects of these model uncertainties on the isotopic compositions. Although no 2-D (or 3-D) model can perfectly simulate stratospheric chemistry and transport, we demonstrate that the simultaneous observations of both  $\delta^{13}C$ -CH<sub>4</sub> and  $\delta D$ -CH<sub>4</sub> provide a new “double constraint” on potential errors in model chemistry, model transport, and experimentally determined KIEs which is considerably more powerful than observations of either isotopic composition alone. Combining these estimates of model error and the double constraint of ER-2 observations for both  $\delta^{13}C$ -CH<sub>4</sub> and  $\delta D$ -CH<sub>4</sub>, we then examine the discrepancies between and uncertainties in experimentally determined values for the KIEs for CH<sub>4</sub> oxidation. Finally, we briefly discuss how comparing the LLNL 2-D model results with both ER-2 observations and results from other models may be used as a new diagnostic for integrated chemistry and transport in the stratosphere.

## 2. Carbon and Hydrogen KIEs for CH<sub>4</sub> Oxidation

[6] Over the last 20 years, use of the carbon isotopic composition of CH<sub>4</sub> to quantify methane sources to the atmosphere has stimulated laboratory and theoretical studies of the KIEs for the CH<sub>4</sub> sink processes. Reaction with OH is responsible for  $90 \pm 5\%$  of the total CH<sub>4</sub> sink in the troposphere; a soil sink accounts for the majority of the remaining  $10 \pm 5\%$  [*Hein and Crutzen*, 1997]. Large carbon and hydrogen KIEs for the Cl sink measured since 1995 dictate that reaction with Cl atoms is an important factor in determining isotopic compositions in the stratosphere [e.g., *Gupta et al.*, 1996; *Bergamaschi et al.*, 1996; *Sugawara et al.*, 1997; *Tyler et al.*, 1999; *McCarthy et al.*, 2001; *Wang et al.*, 2002] where Cl atom concentrations are relatively high and the fraction of CH<sub>4</sub> oxidized by Cl is on the order of 20% (see section 4.1). However, the Cl sink may also be important in the troposphere, where the fraction of CH<sub>4</sub> oxidized by Cl may only be as high as  $\sim 1\%$  [e.g., *Allan et al.*, 2001]. Thus, the tropospheric isotopic compositions are influenced by both in situ Cl oxidation as well as the return of heavy CH<sub>4</sub> from the stratosphere [e.g., *Gupta et al.*, 1996; *McCarthy et al.*, 2001; *Wang et al.*, 2002]. The third oxidant,  $O(^1D)$ , is responsible for approximately 25% of the stratospheric sink for CH<sub>4</sub> in the LLNL model (see section 4.1); thus, accurate KIEs for the reaction CH<sub>4</sub> +  $O(^1D)$  are also necessary to predict stratospheric isotopic compositions.

[7] Recent experimental determinations of the carbon and hydrogen KIEs for the reactions of CH<sub>4</sub> with OH, Cl, and  $O(^1D)$  are listed in Tables 1 and 2, respectively. For ease of

**Table 1.** Experimental Carbon KIEs

Reaction	Carbon KIE ( $k_{12}/k_{13}$ )	Temperature Range, K	225 K	296 K	Reference
OH + CH <sub>4</sub>	1.0054 ± 0.0009	273–353	1.0054	1.0054	<i>Cantrell et al.</i> [1990]
	1.0039 ± 0.0004	296	...	1.0039	<i>Saueressig et al.</i> [2001]
Cl + CH <sub>4</sub>	1.043 * exp(6.455/T)	223–297	1.073	1.066	<i>Saueressig et al.</i> [1995]
	1.066 ± 0.002	296	...	1.066	<i>Crowley et al.</i> [1999]
	1.035 * exp(7.55 ± 1.64/T)	273–349	1.070	1.0621	<i>Tyler et al.</i> [2000]
O( <sup>1</sup> D) + CH <sub>4</sub>	1.001	298	...	1.001	<i>Davidson et al.</i> [1987]
	1.013	223–295	1.013	1.013	<i>Saueressig et al.</i> [2001]

comparison, values for the KIEs at room temperature and at a typical stratospheric temperature of 225 K are given in columns 4 and 5. KIEs with measured temperature dependences are plotted in Figure 1. Note that agreement at room temperature does not imply agreement at stratospheric temperatures. Before we investigate the sensitivity of the modeled isotopic compositions to differences in the experimentally determined KIEs, we first review the experiments used to determine the values for the KIEs listed in Tables 1 and 2. This review provides the experimental context for our analysis of results from different model scenarios used to evaluate discrepancies among these experimental KIEs. Theoretical calculations of the KIEs for CH<sub>4</sub> are quite challenging and appear to be very sensitive to the treatment of the potential energy surface for the reaction [*Corchado et al.*, 2000]; therefore, references to theoretical work are included below for completeness but are not discussed.

## 2.1. Carbon KIEs

### 2.1.1. CH<sub>4</sub> + OH

[8] The carbon kinetic isotope effect for the reaction of CH<sub>4</sub> with OH has been the focus of a number of laboratory [*Rust and Stevens*, 1980; *Davidson et al.*, 1987; *Cantrell et al.*, 1990; *Saueressig et al.*, 2001] and theoretical [*Melissas and Truhlar*, 1993; *Lasaga and Gibbs*, 1991; *Gupta et al.*, 1997; *Espinosa-Garcia and Corchado*, 2000] studies. The two most recent experimental determinations yielded values of 1.0054 ± 0.0009(2σ) [*Cantrell et al.*, 1990] and 1.0039 ± 0.0004(2σ) [*Saueressig et al.*, 2001]. The Cantrell experiment combined gas chromatograph-flame ionization detection (GC-FID) to measure CH<sub>4</sub> mixing ratios and dual-inlet isotope-ratio mass spectrometry (IRMS) to measure δ<sup>13</sup>C-CH<sub>4</sub> after exposure to OH over the temperature range 273–353 K. The source of OH in the experiment was O(<sup>1</sup>D) + H<sub>2</sub>O. Because O(<sup>1</sup>D) also reacts with CH<sub>4</sub>, potential interference from O(<sup>1</sup>D) was monitored by measuring N<sub>2</sub>O, which also reacts with O(<sup>1</sup>D). Because N<sub>2</sub>O remained constant throughout the experiment to within the uncertainty of the N<sub>2</sub>O measurements (i.e., N<sub>2</sub>O remained at 98 ± 5% of

its initial concentration), interference of O(<sup>1</sup>D) in the determination of the OH KIE was thought to be negligible. The Cantrell KIE has been used in the majority of both stratospheric and inverse modeling studies to date.

[9] Recently, *Saueressig et al.* [2001] combined GC-FID and IRMS measurements of CH<sub>4</sub> and δ<sup>13</sup>C-CH<sub>4</sub>, respectively, to determine a value for the OH KIE at 296 K. In contrast to the Cantrell experiment, OH was generated from the photolysis of H<sub>2</sub>O<sub>2</sub> with a Hg/Xe short arc lamp. The value for the KIE of 1.0039 ± 0.0004 was found to be independent of both bath gas (He/O<sub>2</sub> or N<sub>2</sub>/O<sub>2</sub>) and wavelength filter (280 or 225 nm), which indicated that an interfering reaction with O(<sup>1</sup>D) was unlikely to be significant. A second set of measurements reported in *Saueressig et al.* [2001] using HNO<sub>3</sub> as the OH source yielded more erratic values for the KIE between 1.0041 to 1.0055. While these latter values are closer to the results of the Cantrell experiment, they were considered by *Saueressig et al.* to be less reliable due to the presence of photo-labile secondary reaction products from the HNO<sub>3</sub> OH source.

[10] Importantly, the small but significant difference between the two most recently determined KIEs has yet to be resolved. Although the carbon OH KIE from these two studies yielded values that are relatively close, the range in their experimental uncertainties do not overlap, and this small difference in the measured KIE results in a significant change in predicted δ<sup>13</sup>C-CH<sub>4</sub> values in the free troposphere [*McCarthy et al.*, 2001]. Moreover, the KIE for OH is expected to depend on temperature [*Gupta et al.*, 1997] but has not yet been determined at temperatures of importance in many regions of the stratosphere.

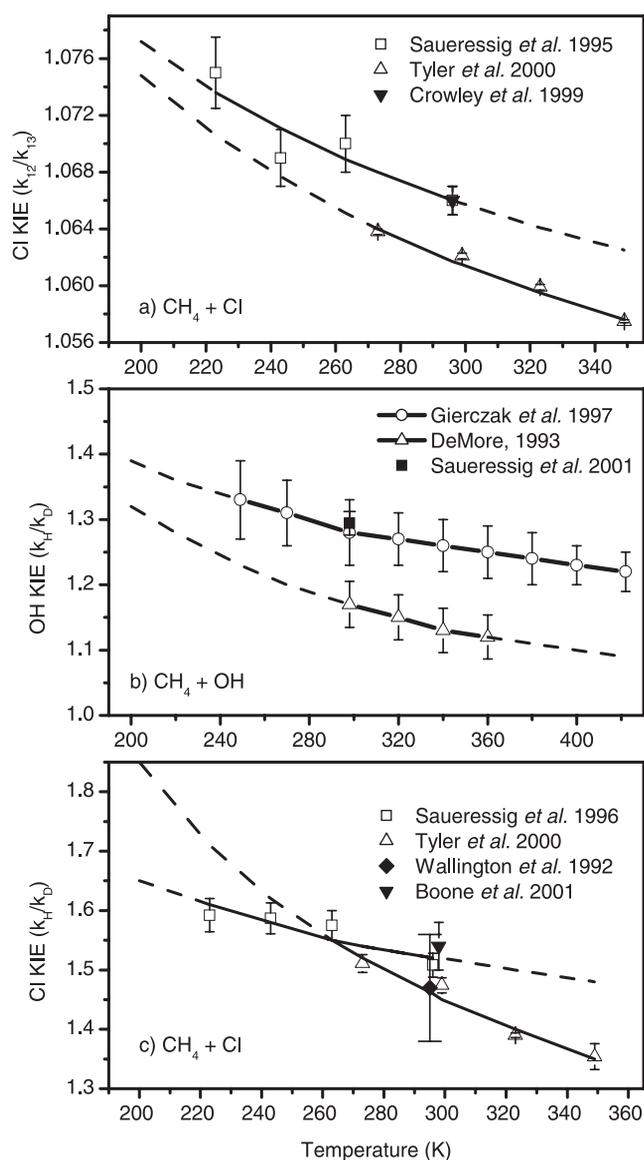
### 2.1.2. CH<sub>4</sub> + Cl

[11] The surprisingly large carbon kinetic isotope effect for the reaction of CH<sub>4</sub> with Cl measured by *Saueressig et al.* [1995] stimulated a number of additional experimental [*Crowley et al.*, 1999; *Tyler et al.*, 2000] and theoretical [*Tanaka et al.*, 1996; *Gupta et al.*, 1997; *Roberto-Neto et al.*, 1998; *Corchado et al.*, 2000] studies over the past 7 years. Although initial theoretical studies showed marked

**Table 2.** Experimental Hydrogen KIEs

Reaction	Hydrogen KIE ( $k_H/k_D$ )	Temperature Range, K	225 K	296 K	Reference
OH + CH <sub>4</sub>	0.91 * exp(75 ± 118/T)	293–361	1.27	1.16	<i>DeMore</i> [1993]
	1.09 * exp(49 ± 22/T)	249–422	1.36	1.25	<i>Gierczak et al.</i> [1997]
	1.294 ± 0.018	296	...	1.294	<i>Saueressig et al.</i> [2001]
Cl + CH <sub>4</sub>	1.278 * exp(51.3 ± 19.1/T)	223–296	1.61	1.508	<i>Saueressig et al.</i> [1996]
	0.894 * exp(145 ± 42/T)	273–349	1.70	1.474	<i>Tyler et al.</i> [2000],
	1.47 ± 0.09	295	...	1.47	<i>Wallington and Hurley</i> [1992] <sup>a</sup>
	1.54 ± 0.04	298	...	1.54	<i>Boone et al.</i> [2001]
O( <sup>1</sup> D) + CH <sub>4</sub>	1.06	223–295	1.06	1.06	<i>Saueressig et al.</i> [2001]

<sup>a</sup>Revised as quoted by *Saueressig et al.* [1996].



**Figure 1.** Experimentally determined kinetic isotope effects (KIEs) as a function of temperature for (a) the carbon KIE for  $\text{CH}_4 + \text{Cl}$ , (b) the hydrogen KIE for  $\text{CH}_4 + \text{OH}$ , and (c) the hydrogen KIE for  $\text{CH}_4 + \text{Cl}$ . Solid lines indicate the fit to the temperature dependence over the range of the experimental data, while dashed lines are an extrapolation of that fit to higher and lower temperatures. Error bars indicate the  $1\sigma$  uncertainty.

disagreement with experiment, most recent work converges on a value for the Cl KIE of  $\sim 1.06$  at 296 K. Experimental Cl KIEs are summarized in Table 1 and their temperature dependence is plotted in Figure 1a. Saueressig et al. [1995] used tunable diode laser absorption spectroscopy (TDLAS) to measure the ratio  $^{12}\text{CH}_4/^{13}\text{CH}_4$  (using weak/strong line pairs for  $^{12}\text{CH}_4/^{13}\text{CH}_4$  isotopologues) and GC-FID to measure  $\text{CH}_4$  from 223 to 297 K. A follow-up experiment [Crowley et al., 1999] using Fourier transform infrared spectroscopy (FTIR) to monitor the  $^{12}\text{CH}_4/^{13}\text{CH}_4$  ratio in an isotopically enriched sample at room temperature yielded a similar result. Most recently, Tyler et al. [2000] used dual-

inlet IRMS and GC-FID to monitor  $\delta^{13}\text{C}\text{-CH}_4$  and  $\text{CH}_4$  mixing ratios, respectively, to determine the Cl KIE from 273 to 353 K. The differences between the experimental values for the Cl KIE are small relative to the size of the Cl KIE itself, which also suggests that this KIE is relatively well constrained compared to the OH KIE.

### 2.1.3. $\text{CH}_4 + \text{O}(^1\text{D})$

[12] Far fewer studies of the carbon KIE for reaction of  $\text{CH}_4$  with  $\text{O}(^1\text{D})$  have been performed than for OH and Cl. Due to its nearly collision rate-limited rate coefficient, theory predicts that this reaction should have a small KIE near unity [Kaye, 1987]. In an early experiment to measure the OH KIE using GC-FID and dual-inlet IRMS, Davidson et al. [1987] dedicated one trial run to  $\text{CH}_4 + \text{O}(^1\text{D})$  and measured a KIE for  $\text{O}(^1\text{D})$  of 1.001 at 298 K. A more recent experiment by Saueressig et al. [2001] using GC-FID and dual-inlet IRMS yielded a KIE for  $\text{O}(^1\text{D})$  of 1.013 - an order of magnitude larger than that of Davidson et al. [1987]. In this more recent experiment, a photochemical model was used to correct for an estimated 20 to 30% systematic error due to reaction with OH that is generated when  $\text{O}(^1\text{D})$  reacts with  $\text{CH}_4$ .

## 2.2. Hydrogen KIEs

### 2.2.1. $\text{CH}_4 + \text{OH}$

[13] The hydrogen kinetic isotope effect for the reaction of  $\text{CH}_4$  with OH has been studied both experimentally [Gordon and Mulac, 1975; DeMore, 1993; Gierczak et al., 1997; Saueressig et al., 2001] and theoretically [Melissas and Truhlar, 1993; Espinosa-Garcia and Corchado, 2000]. The three most recent measurements of the OH KIE are listed in Table 2. Gierczak et al. [1997] used pulsed laser photolysis to produce OH and laser-induced fluorescence to detect the OH decay in order to measure absolute rates of reaction for  $\text{CH}_4$  and  $\text{CH}_3\text{D}$  from 249 to 422 K. DeMore [1993] used a transfer standard method which determined the relative rates of reaction for  $\text{CH}_4$  and  $\text{CH}_3\text{D}$  against the known standards HFC-134a and HCFC-141b from 293 to 361 K. DeMore chose the HFC-134 data as yielding the more reliable KIE since there was less experimental scatter than for the HCFC-141b data. To within the  $2\sigma$  experimental uncertainties, the two KIEs from the transfer-standard method of DeMore agree with each other and with the Gierczak KIE at 298 K. However, the DeMore KIE does not overlap within its  $2\sigma$  uncertainty with a recent room temperature result reported by Saueressig et al. [2001] which used TDLAS and GC-FID to measure a KIE of  $1.294 \pm 0.018$ . Thus, the Gierczak KIE is within  $2\sigma$  of both the DeMore and the Saueressig OH KIEs, but the DeMore and the Saueressig results are outside the bounds of their respective  $2\sigma$  uncertainties.

### 2.2.2. $\text{CH}_4 + \text{Cl}$

[14] The hydrogen KIE for the reaction of  $\text{CH}_4$  with Cl has also been the subject of recent laboratory [Wallington and Hurley, 1992; Saueressig et al., 1996; Tyler et al., 2000; Boone et al., 2001] and theoretical [Roberto-Neto et al., 1998; Corchado et al., 2000] studies. Analogous to the carbon KIEs, the hydrogen KIE for reaction with Cl is considerably larger than that for OH, although only by a factor of 2 to 3 rather than the order of magnitude difference for carbon isotopic substitution. Experiments by Saueressig et al. [1996], Tyler et al. [2000], and Boone et al. [2001]

measuring relative rates yielded values for the Cl KIE at room temperature of  $1.508 \pm 0.041$ ,  $1.474 \pm 0.020$ , and  $1.54 \pm 0.04$ , respectively. *Saueressig et al.* [1996] used TDLAS and GC-FID from 223 to 296K, *Tyler et al.* [2000] used dual-inlet IRMS with GC-FID from 273 to 349K, and *Boone et al.* [2001] used long-path FTIR at 298K. Earlier work by *Wallington and Hurley* [1992] using FTIR at 295K yielded a value of  $1.36 \pm 0.04$ , notably smaller than the more recent studies; however, upon additional measurements, they revised their KIE to  $1.47 \pm 0.09$  (as noted by *Saueressig et al.* [1996] as a personal communication by T. J. Wallington). Thus, all experimental studies are in relatively good agreement at 296 K. The *Saueressig* and *Tyler* experiments show that the KIE increases with decreasing temperature (Figure 1c); the *Tyler* KIE has a stronger temperature dependence, with correspondingly higher values for the KIE when their temperature dependence is extrapolated below 260 K.

### 2.2.3. CH<sub>4</sub> + O(<sup>1</sup>D)

[15] The hydrogen KIE for the reaction of CH<sub>4</sub> with O(<sup>1</sup>D) has received considerably less attention than those for OH and Cl. This KIE was until recently assumed to be near unity [e.g., *Kaye*, 1987] due to the nearly collision-limited rate coefficient for CH<sub>4</sub> + O(<sup>1</sup>D). However, a value of 1.06 from 223 to 295 K was recently reported by *Saueressig et al.* [2001]. The experiment used the TDLAS/GC-FID combination described above for the carbon O(<sup>1</sup>D) KIE experiment; as above, a correction based on a photochemical model was applied for interference from OH.

## 3. Two-Dimensional Modeling

[16] In order to analyze the ER-2 observations in a framework beyond the simple Rayleigh fractionation model of Part 1, we use the LLNL 2-D model to test the sensitivity of modeled isotopic compositions to different values of the KIEs discussed in section 2. Sets of isotope-specific rate coefficients for the reactions of <sup>12</sup>CH<sub>4</sub>, <sup>13</sup>CH<sub>4</sub>, and <sup>12</sup>CH<sub>3</sub>D with OH, Cl, and O(<sup>1</sup>D) from Tables 1 and 2 were incorporated into the LLNL 2-D model. This two-dimensional chemical-radiative-transport model calculates zonally averaged distributions of chemically active trace species in the troposphere and stratosphere and has been used in numerous ozone assessment studies [e.g., *Kinnison et al.*, 1994; *World Meteorological Organization (WMO)*, 1999] and one previous CH<sub>4</sub> isotope study [*McCarthy et al.*, 2001]. The processes represented include (a) thermal kinetic chemical reactions with rate constants based on climatological zonal temperature distributions, (b) photolytic chemical reactions, (c) advection and diffusion driven by climatological zonal average temperature, radiative transfer of energy, and orographic forcing, (d) surface emission and in situ production of active trace constituents, and (e) removal of active species

**Table 4.** Model Scenarios for the Hydrogen KIEs

Scenario	OH KIE	Cl KIE	O( <sup>1</sup> D) KIE
D_S1	1.09 * exp(49/T)	1.278 * exp(51.3/T)	1.06
D_S2	1.09 * exp(49/T)	1.278 * exp(51.3/T)	1
D_S3	1.09 * exp(38/T)	1.278 * exp(51.3/T)	1.06
D_S4	1.09 * exp(49/T)	1.278 * exp(32.2/T)	1.06
D_S5	0.91 * exp(75/T)	1.278 * exp(51.3/T)	1.06

by dry and wet deposition. The model domain extends from pole to pole and from the surface to 85 km. The horizontal resolution is 5° in latitude, and the vertical coordinate is logarithmic in pressure with an approximate resolution of 1.5 km. For this application, the model treats 63 chemically active species, including the isotopologues of CH<sub>4</sub>, in 188 photochemical reactions using individual reactions rather than treatment as chemical families. Atomic Cl concentrations were simulated using a 1990 chemical inventories emission set; current CFC levels are about 10% higher [*WMO*, 1999]. Absolute reaction rate coefficients were taken from Jet Propulsion Laboratory reports JPL-97 and JPL-00 [*DeMore et al.*, 1997, 2000], with the exception of OH + CH<sub>4</sub>, which was taken from *Gierczak et al.* [1997]. Use of this slightly larger rate coefficient decreased OH concentrations by <1% for most of the stratosphere and had a negligible effect on modeled CH<sub>4</sub> isotopic compositions in this study. Absolute reaction rate coefficients for the isotopologues <sup>13</sup>CH<sub>4</sub> and <sup>12</sup>CH<sub>3</sub>D were calculated as the rate coefficients for CH<sub>4</sub> divided by the appropriate KIE. Model numerics are such that the individual isotopologues of CH<sub>4</sub> can be modeled to a relative precision of better than 0.1‰.

[17] For this study, model scenarios using groups of carbon or hydrogen KIEs for each of the OH, Cl, and O(<sup>1</sup>D) oxidants were run; these model scenarios are listed in Tables 3 and 4. Scenarios C\_S1 and D\_S1 are arbitrarily assigned as the baseline scenarios for the carbon and hydrogen isotopic compositions respectively. The KIEs in the baseline scenarios were chosen on the basis of whether measurements of the KIE were made at temperatures relevant to the stratosphere (if available). The additional model scenarios use an alternate KIE from Table 1 or 2 such that the set of scenarios provides a means to assess the influence of each KIE on predicted isotopic compositions in the stratosphere.

[18] Boundary conditions were the same for all model scenarios: <sup>12</sup>CH<sub>4</sub>, <sup>13</sup>CH<sub>4</sub>, and <sup>12</sup>CH<sub>3</sub>D at the surface were prescribed to reproduce the global average mixing ratio of 1750 ppbv in 1997 [e.g., *Dlugokencky et al.*, 2001] and observed values of -47.3‰ and -90.0‰ for δ<sup>13</sup>C-CH<sub>4</sub> and δD-CH<sub>4</sub>, respectively, from the ER-2 observations in the troposphere [*Rice et al.*, 2003]. Each scenario was then run until δ<sup>13</sup>C-CH<sub>4</sub> and δD-CH<sub>4</sub> had converged to steady state to within ±0.01‰ and ±0.1‰, respectively, for all grid cells. Note that our goal here was to predict stratospheric isotopic compositions. Thus, as long as air entering the model stratosphere had values for CH<sub>4</sub>, δ<sup>13</sup>C-CH<sub>4</sub> and δD-CH<sub>4</sub> that simulated the observations, it was not necessary to include realistic latitude-dependent CH<sub>4</sub> source fluxes nor to include the surface soil sink for CH<sub>4</sub> and its KIE. To test these assumptions, a number of sensitivity tests to surface conditions were run. A more realistic, latitude-dependent surface boundary condition for δ<sup>13</sup>C-CH<sub>4</sub>, for example, was

**Table 3.** Model Scenarios for the Carbon KIEs

Scenario	OH KIE	Cl KIE	O( <sup>1</sup> D) KIE
C_S1	1.0054	1.043 * exp(6.455/T)	1.013
C_S2	1.0054	1.043 * exp(6.455/T)	1.001
C_S3	1.0039	1.043 * exp(6.455/T)	1.013
C_S4	1.0054	1.035 * exp(7.55/T)	1.013

found to have little effect on the stratospheric isotopic composition, as expected from the simple eddy-diffusion-dominated tropospheric transport in the model. Changing the boundary condition for  $\delta^{13}\text{C}-\text{CH}_4$  at the surface from  $-47.0$  to  $-47.5\text{‰}$  had a negligible effect on the stratospheric  $\delta^{13}\text{C}:\text{CH}_4$  relationship beyond a constant offset of  $0.5\text{‰}$ . Changing the surface boundary condition for the  $\text{CH}_4$  mixing ratio between  $1700$  ppbv to  $1800$  ppbv also had little effect on the modeled  $\delta^{13}\text{C}:\text{CH}_4$  relationship except at low mixing ratios. However, since the stratospheric boundary condition is essentially the same as the global average tropospheric value and is known to better than  $\pm 15$  ppbv [e.g., *Hurst et al.*, 1999; *Dlugokencky et al.*, 2001], we consider the impact of this sensitivity to be negligible. To test the validity of our steady state approach discussed earlier, a model run using a 30-year surface flux of  $\delta\text{D}-\text{CH}_4$  values and  $\text{CH}_4$  mixing ratios from the years 1970 to 2000 [*Braunlich et al.*, 2001] was also examined;  $\text{CH}_4$ , CFCs, and  $\text{N}_2\text{O}$  were set to vary with time. This model run yielded a  $\delta\text{D}-\text{CH}_4$  relationship in the stratosphere that was within  $2\text{‰}$  of the steady state run that used the same KIEs, a difference which is smaller than the estimated  $2\sigma$  error for the  $\delta\text{D}-\text{CH}_4$  measurements. These results indicate that the steady state treatment using prescribed surface boundary conditions to reproduce current free tropospheric observations is a reasonable approximation for modeling the  $\delta^{13}\text{C}:\text{CH}_4$  and  $\delta\text{D}:\text{CH}_4$  relationships in the stratosphere.

[19] In addition to the magnitudes of the KIEs and the values for the  $\text{CH}_4$  mixing ratios and isotopic compositions for air entering the stratosphere, chemistry and transport are also important in determining  $\delta^{13}\text{C}-\text{CH}_4$  and  $\delta\text{D}-\text{CH}_4$  in the stratosphere. Each of these is discussed separately in the following two sections.

### 3.1. Model Chemistry and Isotopic Compositions

[20] Predicted  $\text{CH}_4$  isotopic compositions can be sensitive to both absolute and relative mixing ratios of the OH, Cl, and  $\text{O}(^1\text{D})$  radicals as well as to the absolute rates of chemical reactions used in the model. As an example of possible model sensitivity to absolute rates, *Wang et al.* [2002] in the Harvard 2-D model used a modified rate coefficient, suggested by *Michelsen et al.* [1996], for the reaction of  $\text{CH}_4$  with Cl which is 5 to 30% larger than the JPL recommendation. Use of this larger rate coefficient resulted in an increase of about  $0.5\text{‰}$  in predicted  $\delta^{13}\text{C}-\text{CH}_4$  values for a given  $\text{CH}_4$  mixing ratio in their model. Similarly, when *Wang et al.* increased Cl concentrations by a factor of 2 to match observations in the lower stratosphere, modeled  $\delta^{13}\text{C}-\text{CH}_4$  increased by a maximum of  $\sim 2\text{‰}$  at a  $\text{CH}_4$  mixing ratio of  $900$  ppbv. Their model sensitivities illustrate that, to zeroth order, isotopic compositions can be equally sensitive to errors in oxidant concentration or kinetic rate coefficients for reaction with that oxidant. For example, increasing the reaction rate coefficient for  $\text{CH}_4 + \text{OH}$  by 25% is approximately equivalent to increasing the concentration of OH by 25% (e.g.,  $\{1.25 * k_{\text{OH}}\} * [\text{OH}] \cong k_{\text{OH}} * \{1.25 * [\text{OH}]\}$ ). Predicted isotopic compositions are also sensitive to the relative fractions of  $\text{CH}_4$  oxidized by OH, Cl, and  $\text{O}(^1\text{D})$ . We discuss this sensitivity in detail in section 4.4 below. We note here, however, that even if large changes are made in the absolute modeled radical abundances, there may only be a small

corresponding change in the predicted isotopic composition if the relative fractions of  $\text{CH}_4$  oxidized by each radical remain approximately the same. For example, an increase in Cl by a factor of 1.5 and OH by a factor of 2 in the Harvard 2-D model lower stratosphere resulted in only slightly heavier  $\delta^{13}\text{C}-\text{CH}_4$  ( $\sim 0.5\text{‰}$  for  $\text{CH}_4$  mixing ratios  $< 1200$  ppbv) than their baseline run [*Wang et al.*, 2002].

[21] Since the predicted isotopic compositions are sensitive to model chemistry, as *Wang et al.* [2002] showed using the Harvard 2-D model, we compare the LLNL 2-D model abundances of Cl, OH, and  $\text{O}(^1\text{D})$  to observations or proxies of radical mixing ratios in the stratosphere. The LLNL 2-D model simulates these radicals using the fundamental JPL recommended chemistry; therefore, it is not possible to “tune” the model to “match” observations. Comparisons with other models and measurements of stratospheric radical abundances show that the LLNL model does as well as the bulk of other models; no 2-D or 3-D model performs dramatically better [*Park et al.*, 1999]. Specifically, the LLNL 2-D model simulates Cl abundances relatively well, with values that are from 25% low to slightly high compared to observations in the lower stratosphere [*Flocke et al.*, 1999], depending on latitude and season. Similarly, modeled OH radical abundances are about 20% lower than observations in the lower stratosphere [e.g., *Hanisco et al.*, 2001]. Although no  $\text{O}(^1\text{D})$  observations exist to test model predictions, photolysis rate coefficients for  $\text{O}_3$  and the quantum yields to produce  $\text{O}(^1\text{D})$  are well known. To zeroth order, then, we estimate the error in predicted  $\text{O}(^1\text{D})$  to be dominated by the error in predicted  $\text{O}_3$ . The LLNL 2-D model predicts ozone mixing ratios that are within  $-10\%$  and  $+20\%$  of observations at  $20$  km (depending on latitude and season) and within  $\pm 10\%$  at  $30$  km [see *Park et al.*, 1999]. Thus, errors in  $\text{O}(^1\text{D})$  are not predicted to be large. The sensitivities of modeled isotopic compositions to these known biases are estimated in section 4.4. Additional chemical processes that have recently come to our attention but which have not been included in these runs of the LLNL 2-D model include a 15% faster rate for quenching of  $\text{O}(^1\text{D})$  by  $\text{N}_2$  [*Ravishankara et al.*, 2002], the suggested increase in the rate for  $\text{CH}_4 + \text{Cl}$  [*Michelsen*, 2001], and a faster production rate of OH from  $\text{HNO}_4$  photolysis [*Roehl et al.*, 2002].

### 3.2. Model Transport and Isotopic Compositions

[22] In addition to sensitivities to absolute rates of chemical reactions and mixing ratios of radicals, it is well known a priori that isotopic compositions will also depend on stratospheric transport and mixing. Both transport and mixing act to reduce the average isotopic fractionation observed relative to that which would occur in a strictly isolated air mass. This concept is discussed at length in Part 1. For completeness, a short description is given here.

[23] The Rayleigh distillation equation (equation (1)) describes the change in the isotopic composition of  $\text{CH}_4$  after a given amount of  $\text{CH}_4$  has been destroyed (i.e., for a given ratio of  $[\text{CH}_4]/[\text{CH}_4]_0$ , with  $[\text{CH}_4]_0$  defined as the mixing ratio for air entering the stratosphere):

$$\ln\left(\frac{[\text{CH}_4]}{[\text{CH}_4]_0}\right) = \left(\frac{\alpha}{1-\alpha}\right) * \ln\left(\frac{[1000 + \delta^{13}\text{C}]}{[1000 + \delta^{13}\text{C}_0]}\right). \quad (1)$$

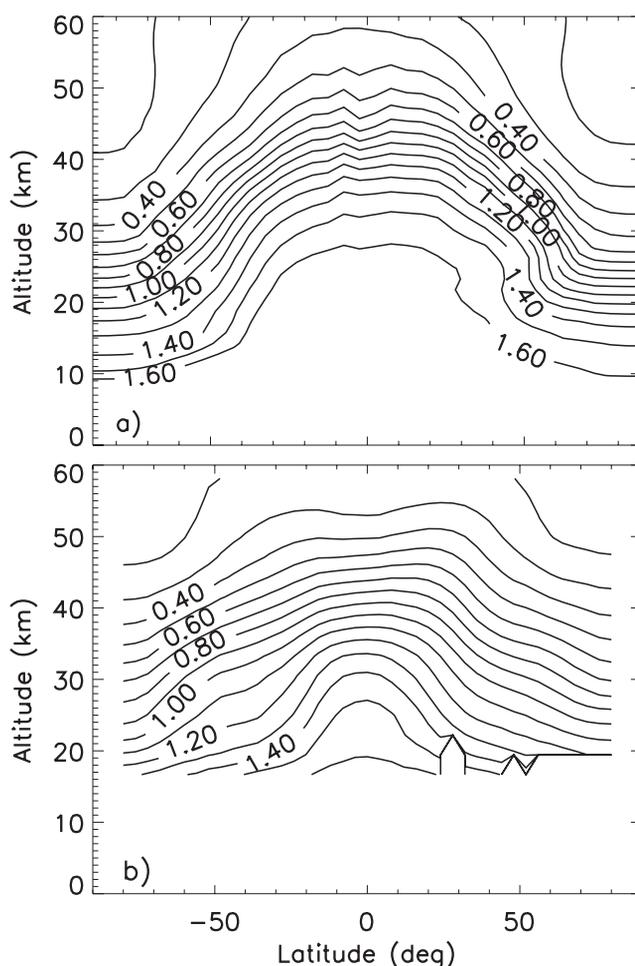
In an isolated, well-mixed system,  $\alpha = \alpha_{\text{KIE}}^c$  where  $\alpha_{\text{KIE}}^c$  is the oxidant-weighted isotope fractionation factor given by

$$\alpha_{\text{KIE}}^c = \frac{k_{\text{OH}}}{k_{\text{OH}}^{13}} f_{\text{OH}} + \frac{k_{\text{Cl}}}{k_{\text{Cl}}^{13}} f_{\text{Cl}} + \frac{k_{\text{O}(1\text{D})}}{k_{\text{O}(1\text{D})}^{13}} f_{\text{O}(1\text{D})} \quad (2)$$

and  $f_x$  is the fraction of CH<sub>4</sub> oxidized by OH, Cl, or O(<sup>1</sup>D). A similar expression is used for hydrogen isotope fractionation where  $\delta\text{D}$ ,  $\alpha_{\text{KIE}}^{\text{D}}$ , and relative rates for the reactions of OH, Cl, and O(<sup>1</sup>D) with CH<sub>4</sub> versus those for CH<sub>3</sub>D (i.e., the hydrogen KIEs) are substituted for  $\delta^{13}\text{C}$ ,  $\alpha_{\text{KIE}}^{\text{C}}$ , and the carbon KIEs for reaction of the oxidants with CH<sub>4</sub> and <sup>13</sup>CH<sub>4</sub> in equations (1) and (2). Note that  $f_{\text{OH}}$ ,  $f_{\text{Cl}}$ , and  $f_{\text{O}(1\text{D})}$  are the same whether carbon or hydrogen fractionation is considered.

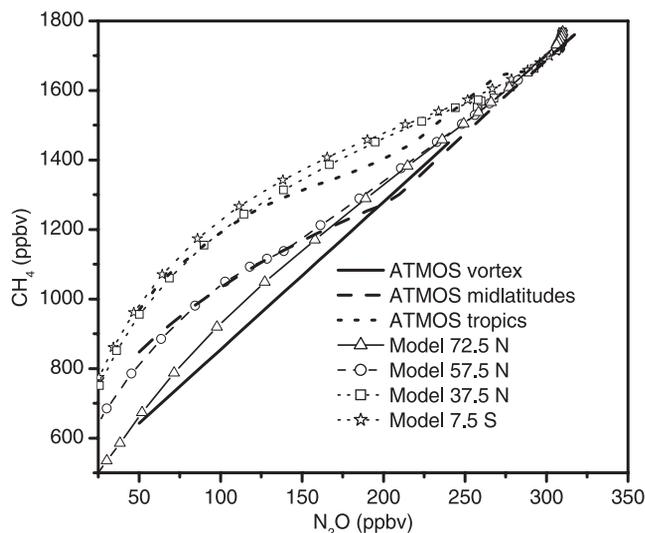
[24] In a system such as the stratosphere, which is not isolated nor well-mixed with respect to CH<sub>4</sub>, the apparent fractionation factor,  $\alpha_{\text{app}}$ , derived from observations when the observations are plotted in the form of equation (1), will always be smaller than that given by the “chemistry only”  $\alpha_{\text{KIE}}$  defined in equation (2). While this attenuation of  $\alpha_{\text{KIE}}$  by transport can be shown mathematically, it can easily be explained conceptually. Suppose, for example, that two air parcels with different amounts of CH<sub>4</sub> are mixed together. Even if the isotopic compositions of the CH<sub>4</sub> in each individual air parcel had previously obeyed simple Rayleigh isotope fractionation with a fractionation factor  $\alpha_{\text{KIE}}$ , the act of mixing these two air parcels together necessarily means that Rayleigh fractionation no longer describes the isotope:CH<sub>4</sub> relationship in the resulting mixture. The mixing of air masses attenuates the isotope fractionation expected from the pure Rayleigh relationship because mixing of an air parcel that has more CH<sub>4</sub> (and therefore a lighter isotopic composition) with an air parcel that has less CH<sub>4</sub> (and therefore a heavier isotopic composition) reduces (i.e., “lightens”) the isotopic composition at the resulting CH<sub>4</sub> mixing ratio of the mixture from that which would be predicted simply by the underlying kinetic isotope effect (i.e., by the Rayleigh equation with  $\alpha = \alpha_{\text{KIE}}$ ). While it is still possible, though not necessary, that a Rayleigh-type relationship could be used to describe the isotope:tracer relationship in the resulting mixtures of air parcels, the apparent fractionation factor  $\alpha_{\text{app}}$  is necessarily less than  $\alpha_{\text{KIE}}$ .

[25] For application to the stratosphere, note that this reduction in  $\alpha_{\text{app}}$  from  $\alpha_{\text{KIE}}$  is true regardless of the type of mixing occurring - that is, whether the nature of the mixing or transport is similar to end-member mixing between polar vortex and midlatitude air [e.g., *Waugh et al.*, 1997; *Michelsen et al.*, 1998; *Herman et al.*, 1998; *Rex et al.*, 1999], continuous weak isentropic mixing across the polar vortex edge during the time of maximum descent [e.g., *Plumb et al.*, 2000], the constant input of CH<sub>4</sub> from the troposphere, or simply the finite transport and mixing times between regions of the stratosphere which have different chemical lifetimes for CH<sub>4</sub> (see Part 1 for further discussion). In general, the combination of all mixing processes reduces the average isotopic fractionation factor  $\alpha_{\text{app}}$  below that predicted by  $\alpha_{\text{KIE}}$ . The degree to which model transport simulates transport occurring in the stratosphere must therefore also be considered.



**Figure 2.** (a) Annual mean CH<sub>4</sub> mixing ratios simulated by the LLNL 2-D model as a function of pressure altitude and latitude. (b) Annual mean CH<sub>4</sub> mixing ratios from the CLAES and HALOE instruments aboard the Upper Atmosphere Research Satellite as a function of pressure altitude and latitude [Randel *et al.*, 1998]. Contour intervals for CH<sub>4</sub> are 0.2 ppmv.

[26] Comparisons of LLNL 2-D model results with observations of CH<sub>4</sub> mixing ratios, stratospheric mean ages, and with results from other 2-D and 3-D models have been made [e.g., *Park et al.*, 1999; *Hall et al.*, 1999]. Modeled CH<sub>4</sub> mixing ratios as a function of latitude and pressure altitude are shown in Figure 2a, while CLAES and HALOE satellite observations [Randel *et al.*, 1998] are shown for comparison in Figure 2b. As expected, the model results capture the characteristic pattern of CH<sub>4</sub> oxidation increasing in general as a function of altitude, superimposed on the stratospheric circulation (upwelling in the tropics and downwelling in the extratropics). However, we note that air characteristic of the tropics clearly extends too far into the extratropics in the model, up to 50°N and S. This feature is also reflected in comparisons of modeled CH<sub>4</sub>:N<sub>2</sub>O correlations with Atmospheric Trace MOlecule Spectroscopy (ATMOS) measurements from the Space Shuttle [Michelsen *et al.*, 1998] (Figure 3); the modeled CH<sub>4</sub>:N<sub>2</sub>O correlations also exhibit a tropical character for all model latitudes equatorwards of 50°. Importantly,



**Figure 3.** CH<sub>4</sub>:N<sub>2</sub>O correlations at different latitudes from ATMOS observations (lines) and the LLNL model (symbols). ATMOS observations are from 1992 to 1994 [Michelsen *et al.*, 1998], while the model simulates CH<sub>4</sub> mixing ratios more appropriate for 1997–2000, so the ATMOS relationships shown here have been offset by 50 ppbv. The modeled CH<sub>4</sub>:N<sub>2</sub>O correlation at 37.5°N exhibits a characteristically tropical relationship, while that at 57.5°N has a shape more characteristic of midlatitude air.

however, the model simulates the differences between the tropical, midlatitude, and high latitude CH<sub>4</sub>:N<sub>2</sub>O correlations quite well, if we discount where the model's midlatitudes begin. Because our isotope analysis here also involves comparing the correlations between modeled and observed long-lived tracers (since  $\delta^{13}\text{C-CH}_4$ ,  $\delta\text{D-CH}_4$ , and CH<sub>4</sub> can all be considered long-lived tracers), the ability of the LLNL 2-D model to simulate the differences between the tropical, midlatitude, and high latitude correlations for the two long-lived tracers CH<sub>4</sub> and N<sub>2</sub>O is the feature of the model most relevant for this study. Lastly, we note that comparisons of SF<sub>6</sub> and CO<sub>2</sub> mean-age tracers with LLNL 2-D model results have also been made and show that the LLNL model mean ages are too small relative to observations throughout the stratosphere by a factor of 2 [Park *et al.*, 1999; Hall *et al.*, 1999]. This discrepancy is a common problem for virtually all 2-D and 3-D models, and a self-consistent solution to the problem(s) is an active area of research [e.g., Hall *et al.*, 1999; Eluszkiewicz *et al.*, 2000]. Although it is not yet clear why the transport formulations of 2-D models yield mean ages which are too low, it may in part indicate that diffusive transport in the models is too weak. The possible effects of weak diffusive transport on modeled isotopic compositions will be discussed in detail in section 4.4.

[27] In summary, a complete analysis of discrepancies between model results and observations should consider all the possible sensitivities to chemical rates, radical abundances, and transport. Despite the range of possible errors, we find in the analysis below that chemistry and transport are modeled sufficiently well to understand the basic factors controlling the isotopic compositions of CH<sub>4</sub> in the strato-

sphere. This conclusion is based on (1) comparisons of model results with ER-2 isotope observations and ATMOS CH<sub>4</sub>:N<sub>2</sub>O observations, (2) estimates of errors in predicted isotopic compositions resulting from known chemistry biases in the LLNL 2-D model, (3) the sensitivity studies of Wang *et al.* [2002] noted above, and (4) the double constraint on the integrated histories of oxidation and transport by the  $\delta^{13}\text{C-CH}_4$  and  $\delta\text{D-CH}_4$  measurements on the same ER-2 samples. Furthermore, we can test the sensitivity of the modeled isotopic compositions to different values of experimental KIEs, but only to within the uncertainties of model error in chemistry and transport (see sections 4.4 and 4.5).

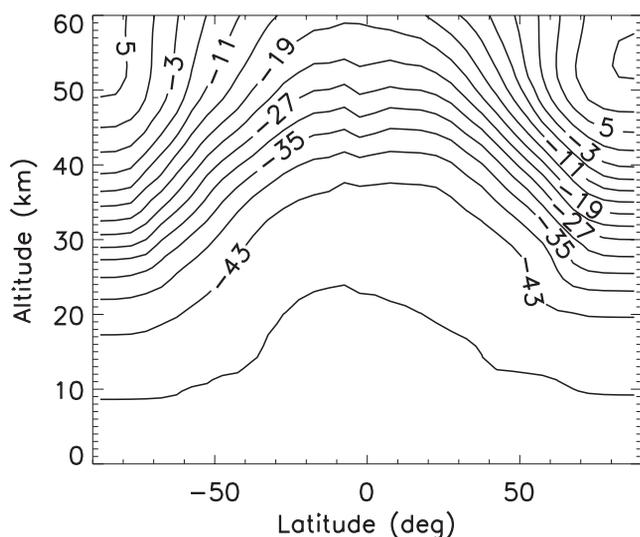
#### 4. Results and Discussion

[28] In the following sections, we compare 2-D model results with new observations of  $\delta^{13}\text{C-CH}_4$  and  $\delta\text{D-CH}_4$  from the ER-2 aircraft [Rice *et al.*, 2003] with the goals of determining how well the 2-D model reproduces the observations and what factors are responsible for the observed increase in the apparent isotopic fractionation factors with decreasing methane mixing ratios in the ER-2 samples (sections 4.1 to 4.3). We then estimate the effects of known biases in model chemistry and transport on predicted isotopic compositions in section 4.4. In section 4.5, we examine the sensitivity of the predicted isotopic compositions to different values for the KIEs using the scenarios in Tables 3 and 4 and evaluate whether these sensitivities are large enough to differentiate from possible modeling errors. Finally, in section 4.6 we briefly outline how the isotopic compositions of CH<sub>4</sub> may be used as a diagnostic of integrated chemistry and transport in model-model inter-comparisons.

##### 4.1. Model Results for $\delta^{13}\text{C-CH}_4$ and Comparison With Observations

[29] Model results for annual mean  $\delta^{13}\text{C-CH}_4$  using the carbon KIEs of Scenario C\_S1 from Table 1 are shown in Figure 4 as a function of latitude and pressure altitude. As CH<sub>4</sub> is oxidized, the remaining CH<sub>4</sub> becomes enriched in <sup>13</sup>C (e.g., compare Figure 4 with Figure 2a). Enrichment is expected based on the carbon KIEs for the CH<sub>4</sub> sink reactions with OH, Cl, and O(<sup>1</sup>D). The KIEs are all greater than 1, hence  $\delta^{13}\text{C-CH}_4$  will increase regardless of where CH<sub>4</sub> is oxidized in the stratosphere. However, the value of the instantaneous oxidant-weighted carbon KIEs (i.e.,  $\alpha_{\text{KIE}}$  in equation (2)) depends on latitude and altitude primarily because the absolute and relative abundances of OH, Cl, and O(<sup>1</sup>D) depend on latitude and altitude. For example, model predictions for the instantaneous fractions of CH<sub>4</sub> oxidized by OH, Cl, and O(<sup>1</sup>D) (i.e., the values for  $f_{\text{OH}}$ ,  $f_{\text{Cl}}$ , and  $f_{\text{O(1D)}}$ ) averaged over one year are shown in Figure 5. The fractionation factors for these three oxidants combine to yield the annually averaged  $\alpha_{\text{KIE}}$  for Scenario C\_S1 shown in Figure 6.

[30] Enrichment in <sup>13</sup>C as CH<sub>4</sub> mixing ratios decrease is also expected based on previous  $\delta^{13}\text{C-CH}_4$  observations [Wahlen *et al.*, 1989; Brenninkmeijer *et al.*, 1995; Sugawara *et al.*, 1997]. The new ER-2 data, however, extend to lower CH<sub>4</sub> mixing ratios than any previous observations, are almost an order of magnitude more numerous than the other



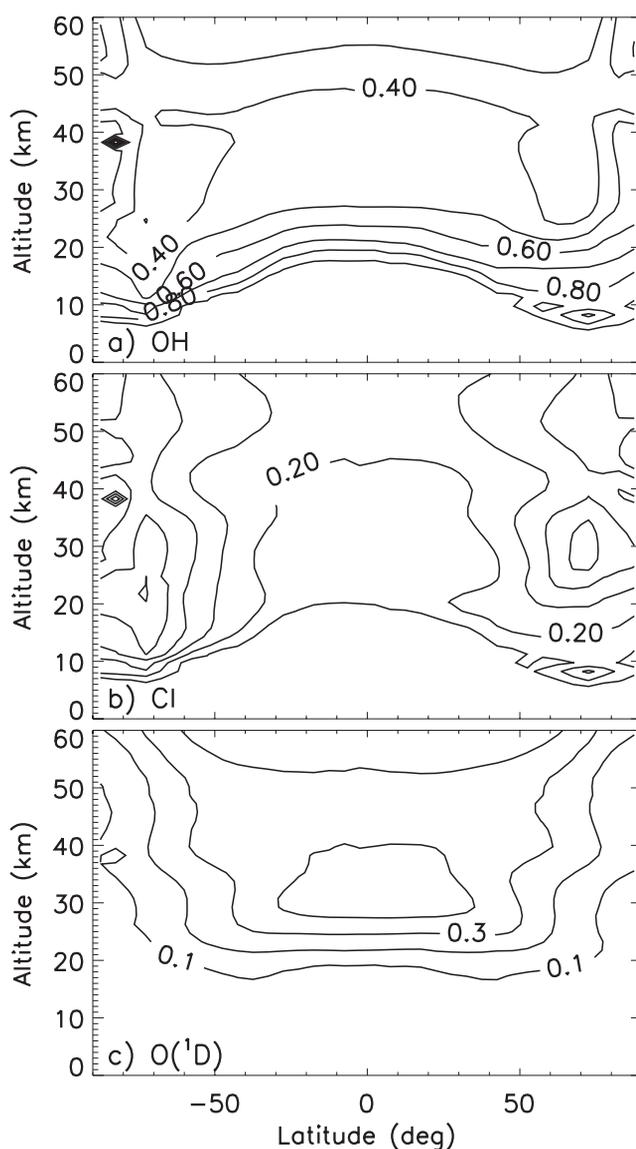
**Figure 4.** Annual mean  $\delta^{13}\text{C}\text{-CH}_4$  (in ‰ versus V-PDB) simulated by the LLNL 2-D model using the set of KIEs from model scenario C\_S1 as a function of pressure altitude and latitude.

studies, and cover a broad range of latitudes ( $1^\circ\text{S}$ – $89^\circ\text{N}$ ), altitudes (8.6–20.8 km), seasons (northern winter, spring, and summer), and years (1996, 1997, and 2000) [Rice *et al.*, 2003]. Moreover, the low CH<sub>4</sub> mixing ratio observations are from air collected in the polar vortex (or in polar vortex remnants) which has descended to ER-2 altitudes via the stratospheric circulation; these vortex samples therefore represent isotope fractionation occurring far above the ER-2 ceiling.

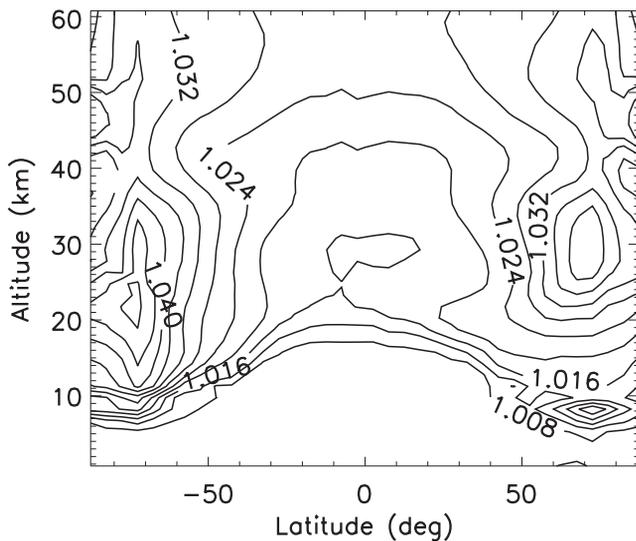
[31] Because latitude and altitude are not conserved variables in the stratosphere, it is best to plot values for  $\delta^{13}\text{C}\text{-CH}_4$  against CH<sub>4</sub> mixing ratios (Figure 7) (For a discussion of tracer:tracer correlations in general, see, e.g., Plumb and Ko [1992] and Waugh *et al.* [1997]). In addition, such a plot is particularly valuable for comparing the highly spatially resolved aircraft observations with global-scale model results. The shaded region in Figure 7 represents the envelope of the modeled  $\delta^{13}\text{C}\text{:CH}_4$  relationships for Scenario C\_S1 throughout the stratosphere for all 12 model months. The modeled  $\delta^{13}\text{C}\text{:CH}_4$  relationships for the month of March in the tropics (at  $7.5^\circ\text{S}$ ) and in the extratropics ( $72.5^\circ\text{N}$ ) for Scenario C\_S1 are indicated by the small triangles and the bold solid line, respectively. Interestingly, the difference in the predicted  $\delta^{13}\text{C}\text{:CH}_4$  relationships between the tropics and the extratropics is primarily due to transport. As discussed in section 3.2, the mixing of air masses always results in a reduction of the isotopic fractionation relative to that which would result in a strictly isolated air mass. Since the tropics are relatively isolated and less influenced by transport and mixing compared with the extratropics, tropical  $\delta^{13}\text{C}\text{-CH}_4$  values are heavier (i.e., more fractionated) for a given CH<sub>4</sub> mixing ratio than in the extratropics. Thus, model transport attenuates the apparent fractionation factors,  $\alpha_{\text{app}}$ , in the extratropics more than in the tropics, as expected, and more than compensates for the smaller tropical  $\alpha_{\text{KIE}}$ 's (see Figure 6). The tropical ER-2  $\delta^{13}\text{C}\text{-CH}_4$  observations are also on average heavier for a

given CH<sub>4</sub> mixing ratio than those from the extratropics [see Rice *et al.*, 2003]. The minimum CH<sub>4</sub> mixing ratio for samples collected by the ER-2 in the tropics, however, is 1604 ppbv. Thus, tropical  $\delta^{13}\text{C}\text{-CH}_4$  measurements to test the model predictions at lower CH<sub>4</sub> mixing ratios will require samples collected by high-altitude balloon.

[32] To judge how well the LLNL 2-D model predicts the  $\delta^{13}\text{C}\text{:CH}_4$  relationship in the extratropics, we compare the ER-2 observations with model predictions for March at  $72.5^\circ\text{N}$  in Figure 7. The month and latitude were chosen because most ER-2 samples with CH<sub>4</sub> mixing ratios less than 1300 ppbv were collected in March or April in the Arctic vortex or in remnants of the vortex at high latitudes [Rice *et al.*, 2003]. This choice does not significantly affect our assessment of the model results, however, for the following reasons: (1) model predictions from the high latitudes in general (e.g.,  $67.5$  to  $90^\circ\text{N}$ ) yield results that are essentially identical to those shown for  $72.5^\circ\text{N}$ , (2) no enhancement in  $\delta^{13}\text{C}\text{-CH}_4$  due to reaction with elevated Cl



**Figure 5.** Annual average values for (a)  $f_{\text{OH}}$ , (b)  $f_{\text{Cl}}$ , and (c)  $f_{\text{O}(1\text{D})}$  as a function of latitude and pressure altitude.

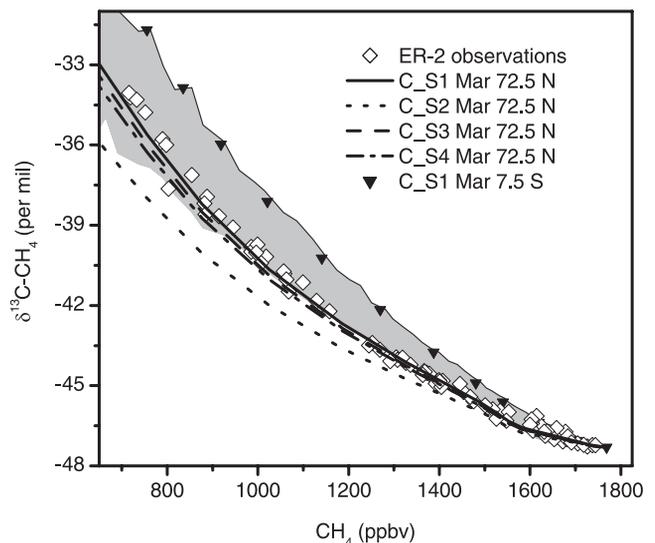


**Figure 6.** Annual average of the instantaneous carbon KIEs weighted by the oxidant loss rates ( $\alpha_{\text{KIE}}^{\text{C}}$ ) as a function of latitude and pressure altitude for scenario C\_S1.

in the lower stratosphere winter polar vortices was detected in either the model results nor the observations [see Rice *et al.*, 2003], and (3) predicted  $\delta^{13}\text{C}:\text{CH}_4$  relationships are not particularly sensitive functions of latitude or season at high CH<sub>4</sub> mixing ratios.

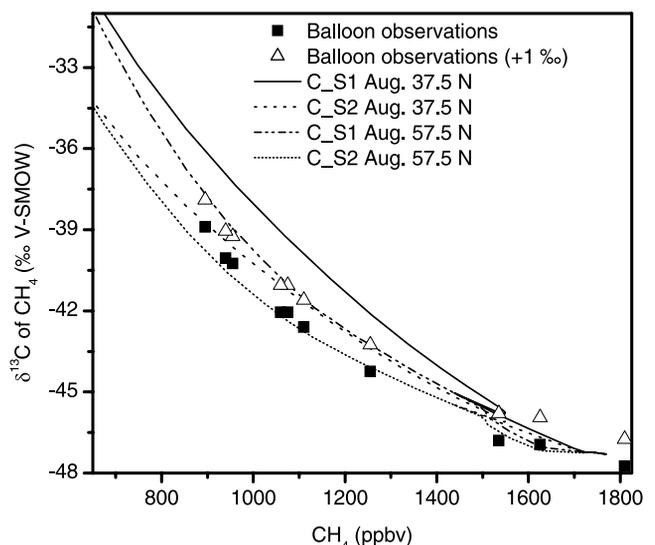
[33] Using Figure 7, we can evaluate the LLNL model scenarios incorporating different sets of carbon KIEs through comparison with ER-2 observations. For CH<sub>4</sub> mixing ratios greater than 1200 ppbv, all model scenarios predict the observed extratropical  $\delta^{13}\text{C}:\text{CH}_4$  relationship to better than  $\pm 0.5\%$ , except for Scenario C\_S2, the one scenario that includes the small O(<sup>1</sup>D) KIE of 1.001. In contrast, for CH<sub>4</sub> mixing ratios less than 1200 ppbv, all scenarios predict  $\delta^{13}\text{C}:\text{CH}_4$  values that are lighter for a given CH<sub>4</sub> mixing ratio than the observations. Scenario C\_S1 yields the steepest (i.e., isotopically “heaviest”)  $\delta^{13}\text{C}:\text{CH}_4$  relationship but one which is still lighter than the ER-2 observations by  $\sim 0.5\%$  for CH<sub>4</sub> < 800 ppbv. Results from Scenarios C\_S3 and C\_S4 are lighter than those of C\_S1, as expected for the smaller OH and Cl KIEs. However, these differences are small (<1%) even at low mixing ratios of CH<sub>4</sub>. Thus, it does not appear that the differences between the KIEs used in scenarios C\_S1, C\_S3, and C\_S4 are large enough to be distinguished from possible errors in chemistry and transport. This point will be discussed further in section 4.4. In contrast, results from Scenario C\_S2 are much lighter than the other 3 scenarios, deviating by 1 to 3.5% from the observations between 1200 to 716 ppbv, respectively. Thus, using the smaller O(<sup>1</sup>D) KIE of 1.001 results in a relatively large difference between the model predictions and the observations in both the magnitude and curvature of the  $\delta^{13}\text{C}:\text{CH}_4$  relationship.

[34] A comparison of 2-D model results with measurements of  $\delta^{13}\text{C}:\text{CH}_4$  and CH<sub>4</sub> in air collected in August 1994 at midlatitudes over Japan (38.3 to 40.0°N) between 14.0 and 34.7 km by a balloon-borne cryogenic sampler [Sugawara *et al.*, 1997] can also be made. The balloon observations are compared with model results for August at 37.5°N and

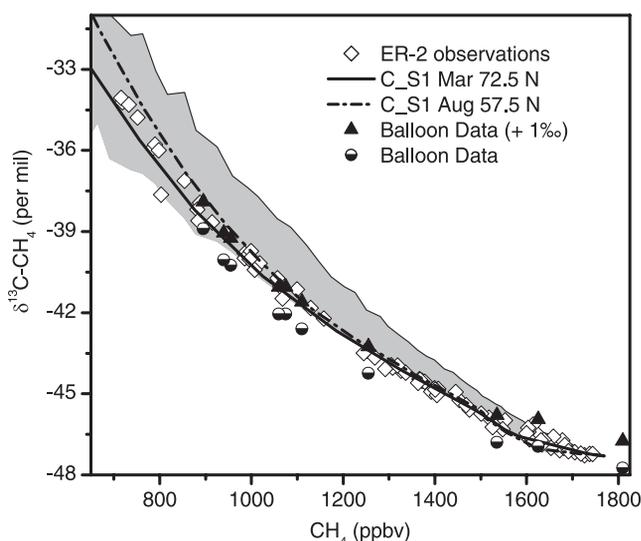


**Figure 7.** The correlation between  $\delta^{13}\text{C}:\text{CH}_4$  and CH<sub>4</sub> for ER-2 measurements and model scenarios. The shaded region represents the envelope of model results from Scenario C\_S1 for  $\delta^{13}\text{C}:\text{CH}_4$  for all model latitudes and months. Model results for scenarios at 72.5°N are indicated by lines, while results at 7.5°S are indicated by triangles. The ER-2 observations are plotted as diamonds.

57.5°N in Figure 8. If a straight comparison of model results at 37.5°N with the midlatitude observations is made, it would appear that Scenario C\_S2, with the smaller O(<sup>1</sup>D) KIE, best reproduces the observations, which is the opposite of what was found for the ER-2 observations. However, as discussed in section 3.2 and shown in Figure 3, the tracer: tracer correlations produced by the LLNL model only begin to have midlatitude character polewards of 50°N while the correlations at model latitudes <50°N are more characteristic of the tropics. Since modeled values of  $\delta^{13}\text{C}:\text{CH}_4$



**Figure 8.** The correlation between  $\delta^{13}\text{C}:\text{CH}_4$  and CH<sub>4</sub> from model results (lines) and from balloon observations [Sugawara *et al.*, 1997] (squares).



**Figure 9.** The correlation between  $\delta^{13}\text{C}-\text{CH}_4$  and  $\text{CH}_4$  from model results (lines), ER-2 observations (diamonds), and the balloon observations from Sugawara *et al.* [1997] which have been arbitrarily shifted by  $+1\text{‰}$  (triangles). The original balloon observations are shown as the half-filled circles. The shaded region represents the envelope of model results from Scenario C\_S1 for  $\delta^{13}\text{C}:\text{CH}_4$  for all model latitudes and months.

$\text{CH}_4$  for Scenario C\_S1 at  $57.5^\circ\text{N}$  are significantly lighter than those at  $37.5^\circ\text{N}$ , as expected for the transition from tropical to extratropical air, a comparison of model results from  $57.5^\circ\text{N}$  with the balloon observations reduces the model-measurement discrepancy to about  $+1\text{‰}$  for Scenario C\_S1. Moreover, the curvature of the  $\delta^{13}\text{C}:\text{CH}_4$  relationship between 800 and 1300 ppbv  $\text{CH}_4$  produced by Scenario C\_S1 more closely resembles the balloon observations than C\_S2. To quantitatively assess this, we fit the model results and the balloon and ER-2 observations with logarithmic functions of the form  $\delta^{13}\text{C} = m * \ln(\text{CH}_4) + \delta^{13}\text{C}_0$ , where  $m$  is a measure of the “curvature.” The value of  $m$  for C\_S2 at both  $57.5$  and  $37.5^\circ\text{N}$  is more than a factor of two farther from the Sugawara observations than that for C\_S1. The C\_S1 curvature term is also closer to the ER-2 observations. Intuitively, this curvature indicates the increasing importance of the large  $\text{O}(^1\text{D})$  KIE in older air for capturing the shape of the  $\delta^{13}\text{C}:\text{CH}_4$  relationship. Thus, Scenario C\_S1 best captures both the  $\delta^{13}\text{C}:\text{CH}_4$  correlation and its curvature from the ER-2 observations and the  $\delta^{13}\text{C}:\text{CH}_4$  curvature from the balloon observations. However, a  $1\text{‰}$  discrepancy still remains between the model results for C\_S1 and the balloon observations and between the ER-2 and the balloon observations.

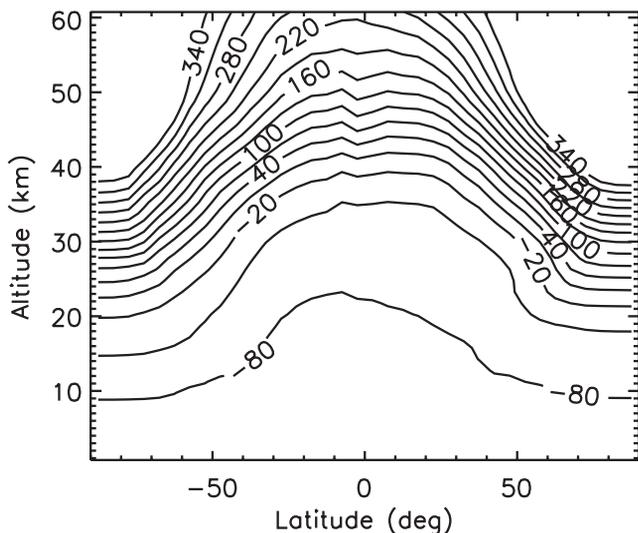
[35] In Figure 9, ER-2 observations are plotted with the balloon observations, the balloon observations offset by  $+1\text{‰}$ , and model results. The agreement between the offset balloon and the ER-2 observations is quite good for  $\text{CH}_4 < 1600$  ppbv, with scenario C\_S1 reproducing both sets of observations to within  $0.5\text{‰}$  or better. Possible explanations for the difference of  $1\text{‰}$  between the ER-2 and balloon observations are discussed in detail in Rice *et al.* [2003]. For this analysis, if we arbitrarily assume that there is a

systematic offset of  $\sim 1\text{‰}$  between the balloon and the ER-2  $\delta^{13}\text{C}-\text{CH}_4$  measurements, then there is little difference between the balloon and the ER-2  $\delta^{13}\text{C}:\text{CH}_4$  relationships for  $\text{CH}_4 < 1600$  ppbv which is not captured by Scenario C\_S1. Determining the source of the discrepancy between the ER-2 and balloon observations, if real, will require additional balloon stratospheric measurements and/or an intercomparison of laboratory standards for resolution. At present, however, there appears to be no other reasonable way to resolve the model-measurement-measurement discrepancy. Indeed, Wang *et al.* [2002] came to the same conclusion that the  $\text{O}(^1\text{D})$  KIE of 1.013 gave a more realistic  $\delta^{13}\text{C}:\text{CH}_4$  relationship based on the balloon observations alone using a 2-D model with very different chemistry and transport (see, e.g., Park *et al.* [1999] for a comparison of LLNL 2-D model and Harvard 2-D model transport and chemistry simulations). In section 4.4, we will examine in detail the possible effects that model errors in transport and chemistry may have on this conclusion, after discussion of the additional constraint from the simultaneous observations of  $\delta\text{D}-\text{CH}_4$ .

[36] Despite some uncertainties at the level of  $\sim 1\text{‰}$ , the LLNL 2-D model certainly captures to first order the combined effects of chemistry and transport on the  $\delta^{13}\text{C}:\text{CH}_4$  relationships. Therefore, the model can be used to refine the analysis in Part 1 that used a 0-D, chemistry-only Rayleigh fractionation model [Rice *et al.*, 2003]. Isotope geochemists analyzing isotope fractionation in a variety of environmental settings also plot isotopic compositions versus mixing ratios, although usually in the form of equation (1). The slope of the line when the data are plotted in logarithmic form (or an exponential fit to the data as plotted in Figure 7) yields the apparent isotope fractionation factor,  $\alpha_{\text{app}}$ . For the ER-2 observations,  $\alpha_{\text{app}}$  increases as  $\text{CH}_4$  mixing ratios decrease [Rice *et al.*, 2003]. The empirically derived values for  $\alpha_{\text{app}}$  ranged from  $1.0105 \pm 0.0004$  at the highest observed stratospheric  $\text{CH}_4$  mixing ratio to  $1.0204 \pm 0.0004$  at the lowest observed  $\text{CH}_4$  mixing ratio, while a fit to all the observations (between 716 and 1720 ppbv) yielded  $1.0154 \pm 0.0008$ . For comparison, a Rayleigh-type fit to the Scenario C\_S1 results in Figure 7 from 650 to 1700 ppbv  $\text{CH}_4$  yields an overall average  $\alpha_{\text{app}}$  range of  $1.0155 \pm 0.0004$  and a similar trend of increasing values for  $\alpha_{\text{app}}$  with decreasing  $\text{CH}_4$ . This agreement is expected based on the close agreement of the model results and the observations. In Part 1, changes in  $f_{\text{OH}}$ ,  $f_{\text{Cl}}$ , and  $f_{\text{O}(^1\text{D})}$  were empirically deduced as the likely explanation for these changes in  $\alpha_{\text{app}}$  as a function of  $\text{CH}_4$  mixing ratio. This interpretation is confirmed by the agreement of the model results and observations and an examination of the corresponding modeled values for  $f_{\text{Cl}}$ ,  $f_{\text{OH}}$ , and  $f_{\text{O}(^1\text{D})}$  in Figure 5. The fractionation factor increases as  $f_{\text{Cl}}$  and  $f_{\text{O}(^1\text{D})}$  increase with altitude and mean age due to increased Cl and  $\text{O}(^1\text{D})$  radical mixing ratios, as seen in Figure 6. Due to the small temperature dependence of the Cl KIE, stratospheric temperature differences have a negligible impact on the curvature of the modeled  $\delta^{13}\text{C}:\text{CH}_4$  relationship.

#### 4.2. Model Results for $\delta\text{D}-\text{CH}_4$ and Comparison With Observations

[37] Only two previous studies have reported measurements of  $\delta\text{D}-\text{CH}_4$  in the stratosphere [Wahlen *et al.*, 1989;

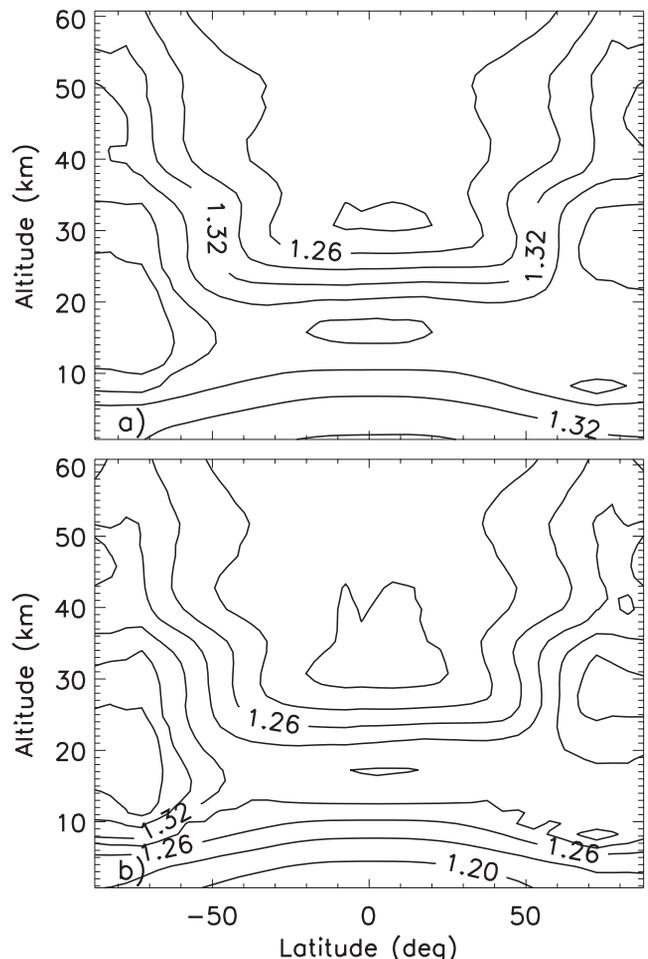


**Figure 10.** Annual mean  $\delta\text{D-CH}_4$  (in ‰ versus V-SMOW) simulated by the LLNL 2-D model using the set of KIEs from model scenario D\_S1 as a function of pressure altitude and latitude.

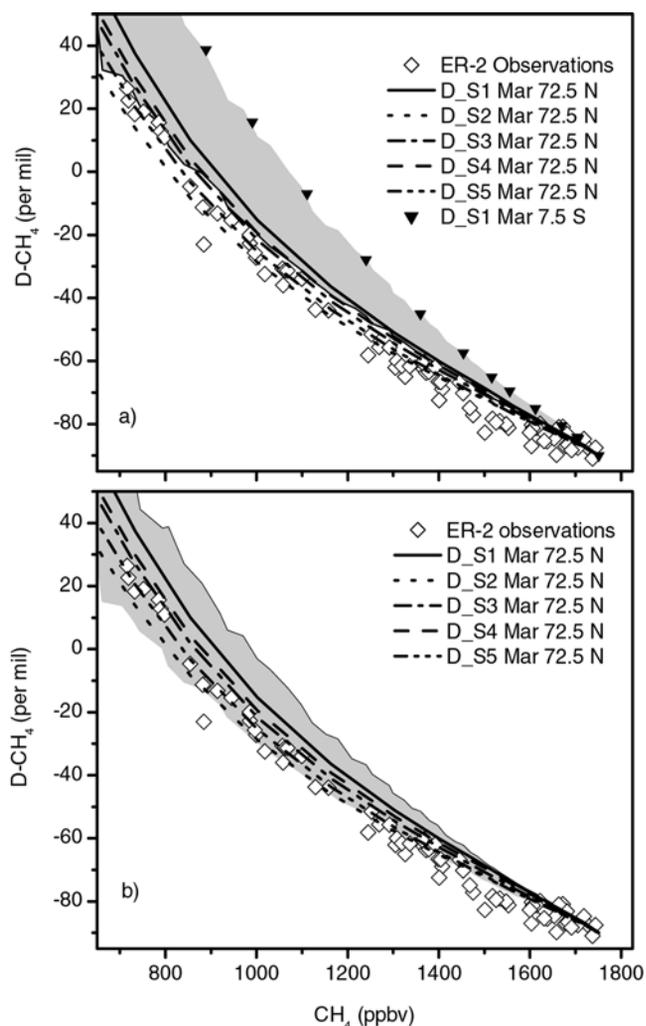
Irion *et al.*, 1996]. Due to the relatively few measurements of the unpublished data set of Wahlen *et al.* and the low precision of the remote sensing observations of Irion *et al.*, the high-precision ER-2  $\delta\text{D-CH}_4$  observations reported in Part I provide new and much stronger constraints for modeling isotope fractionation in the stratosphere. Model results for  $\delta\text{D-CH}_4$  as a function of pressure altitude and latitude are shown in Figure 10 using the hydrogen KIEs in Scenario D\_S1. The difference between modeled tropospheric and stratospheric  $\delta\text{D-CH}_4$  values are large, ranging from  $-90\text{‰}$  in the troposphere to greater than  $+200\text{‰}$  at high latitudes in the upper stratosphere. Annually averaged instantaneous hydrogen KIEs weighted by the oxidant loss rates ( $\alpha_{\text{KIEs}}$ ) are shown in Figures 11a and 11b for scenarios D\_S1 and D\_S5, respectively.

[38] In order to compare the model results with the ER-2 observations,  $\delta\text{D-CH}_4$  is plotted against CH<sub>4</sub> mixing ratio in Figure 12. As for  $\delta^{13}\text{C-CH}_4$ , model results from  $72.5^\circ\text{N}$  in March were chosen because most ER-2 samples with CH<sub>4</sub> mixing ratios less than 1300 ppbv were collected in March or April in the Arctic vortex or in remnants of the vortex at high latitudes. Similar to Figures 6 and 8 for  $\delta^{13}\text{C-CH}_4$ , the shaded regions in Figure 12 represent the envelope of all modeled  $\delta\text{D-CH}_4$  relationships throughout the stratosphere for (a) Scenario D\_S1 and (b) Scenario D\_S5. For CH<sub>4</sub> mixing ratios  $<1200$  ppbv, Scenarios D\_S1, D\_S3, and D\_S4 predict  $\delta\text{D-CH}_4$  values that are heavier than the ER-2 measurements. Results for Scenario D\_S1 are heavy by  $\sim 10$  to  $15\text{‰}$  depending on CH<sub>4</sub> mixing ratio. Scenarios D\_S3 and D\_S4 are variants of Scenario D\_S1 in which the activation energies for the experimental KIEs for CH<sub>4</sub> + OH and CH<sub>4</sub> + Cl are lowered by their  $1\sigma$  and  $2\sigma$  experimental precisions, respectively. Lowering either KIE still results in  $\delta\text{D-CH}_4$  results that are heavy by  $\sim 5$ – $10\text{‰}$  compared with the observations. Note that using the Tyler *et al.* [2000] Cl KIE of  $0.894\exp(145/T)$ , which has a larger KIE at stratospheric temperatures (see Figure 1c), would yield results

even heavier than those for D\_S1. Scenario D\_S5 using the OH KIE from DeMore [1993] yields model results that are within  $\pm 5\text{‰}$  of the observations for CH<sub>4</sub>  $<1200$  ppbv. Scenario D\_S2, which assumes an O(<sup>1</sup>D) KIE of unity, results in  $\delta\text{D-CH}_4$  values that are  $\sim 5\text{‰}$  lighter than the observations for CH<sub>4</sub>  $<1100$  ppbv. Between CH<sub>4</sub> mixing ratios of  $\sim 1200$  and  $1650$  ppbv, all model scenarios predict  $\delta\text{D-CH}_4$  values that are heavier than the observations by up to  $10\text{‰}$  despite the fact that the model is prescribed to match the  $\delta\text{D-CH}_4$  relationship for air entering the stratosphere (i.e., at  $1720$  ppbv CH<sub>4</sub>). Interestingly, this latter result is in direct contrast to the best model results for the  $\delta^{13}\text{C-CH}_4$  relationship that closely matched the observations over this range of CH<sub>4</sub>. Since CH<sub>4</sub> oxidation in the lower stratosphere is dominated by OH (e.g., Figure 5), it is possible that the model-observation discrepancy may be due to the hydrogen OH KIEs used or model radical abundances. Alternatively, model transport could be too weak in the lower stratosphere and thus might not adequately attenuate the  $\alpha_{\text{KIE}}$  to reproduce the observed  $\alpha_{\text{app}}$ . Distinguishing between these possibilities will be discussed further in sections 4.4 and 4.5 in the context of the additional constraint from the  $\delta^{13}\text{C-CH}_4$  observations.



**Figure 11.** Annual average of the instantaneous hydrogen KIEs weighted by the oxidant loss rates ( $\alpha_{\text{KIE}}^{\text{H}}$ ) as a function of latitude and pressure altitude for (a) Scenario D\_S1 and (b) Scenario D\_S5.



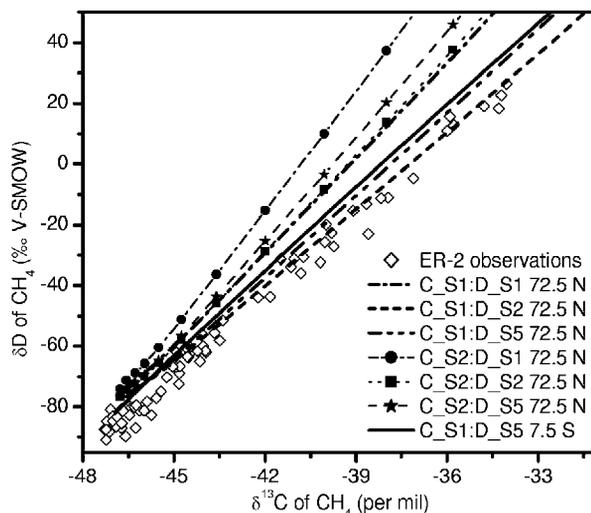
**Figure 12.** The correlation between  $\delta\text{D-CH}_4$  and  $\text{CH}_4$ . The shaded regions represent the envelope of model results for (a) Scenario D\_S1 and (b) Scenario D\_S5 for all model latitudes and months. Model results for scenarios at  $72.5^\circ\text{N}$  are indicated by lines, while results at  $7.5^\circ\text{S}$  are indicated by triangles. The ER-2 observations are plotted as diamonds.

[39] Rayleigh-derived average fractionation factors,  $\alpha_{\text{app}}$ , obtained from the slope of the  $\delta\text{D:CH}_4$  relationship of the ER-2 measurements increase monotonically from  $1.115 \pm 0.008(2\sigma)$  to  $1.198 \pm 0.008(2\sigma)$  from high to low  $\text{CH}_4$  mixing ratio ranges, respectively [Rice *et al.*, 2003]. If a single exponential is used to fit all the stratospheric data, Rice *et al.* obtained a value of  $1.153 \pm 0.010(2\sigma)$ . For comparison, a Rayleigh-type fit to the Scenario D\_S5 results in Figure 12b from 650 to 1700 ppbv  $\text{CH}_4$  yields an overall average  $\alpha_{\text{app}}$  range of  $1.153 \pm 0.004$  and an increasing  $\alpha_{\text{app}}$  with decreasing  $\text{CH}_4$  mixing ratio. The primary driver of the increasing  $\alpha_{\text{app}}$  for hydrogen fractionation is the increasing influence of Cl with mean age. In contrast to the  $\alpha_{\text{app}}$  for  $\delta^{13}\text{C-CH}_4$ , the increase in  $f_{\text{O}(1\text{D})}$  for hydrogen will reduce the  $\alpha_{\text{app}}$  since the  $\text{O}(^1\text{D})$  KIE is the smallest of the three. To test whether the temperature dependence of the KIEs plays a role in the increase in  $\alpha_{\text{app}}$ , a model run with all KIEs set to their values at 225 K yielded no significant change in the curvature of the  $\delta\text{D:CH}_4$  relationship. Thus, we conclude

that the change in  $\alpha_{\text{app}}$  is primarily due to the change in  $f_{\text{Cl}}$  and not temperature.

### 4.3. Comparing the Modeled and Measured $\delta\text{D-CH}_4$ : $\delta^{13}\text{C-CH}_4$ Relationship

[40] A scatterplot of  $\delta\text{D-CH}_4$  versus  $\delta^{13}\text{C-CH}_4$  for the ER-2 observations is compared with model results for various KIE scenarios in Figure 13. Both observations and model results show a remarkably linear relationship. As noted in Rice *et al.* [2003], a compact correlation between  $\delta\text{D-CH}_4$  and  $\delta^{13}\text{C-CH}_4$  is expected. Both isotopologues are long-lived with respect to vertical and horizontal transport timescales, which is the only requirement for a linear correlation in the stratosphere [e.g., Plumb and Ko, 1992], and they are obviously further correlated chemically as well. The slope of the observed linear correlation is  $8.6 \pm 0.8$ . The two sets of carbon and hydrogen KIE scenarios which come closest to reproducing the observations are the combination of D\_S5 with C\_S1, with a slope of 9.0, and of D\_S2 with C\_S1, with a slope of 8.3. That these particular scenario combinations have slopes which are closest to the observations is simply due to the fact that they produce the steepest  $\delta^{13}\text{C:CH}_4$  relationship (C\_S1) and the flattest  $\delta\text{D:CH}_4$  relationships (D\_S5 and D\_S2). Thus, the slope gives a relative measure of the effects of integrated  $\text{CH}_4$  oxidation and transport on the carbon and hydrogen isotopic compositions in the stratosphere and may therefore be an efficient means of comparing these effects in models using the same set of KIEs. It is also important to note that the slope of the modeled  $\delta\text{D}:\delta^{13}\text{C}$  relationship is relatively insensitive to the latitude or season chosen (Figure 13). This insensitivity is in direct contrast to the isotope: $\text{CH}_4$  relationships explored in sections 4.1 and 4.2, which are significantly different in the tropics, midlatitudes, and high latitudes. However, varying the KIEs and, by proxy, chemistry, does result in large changes in the  $\delta\text{D}:\delta^{13}\text{C}$  slope. Since all model runs used identical chemistry and transport, differences in slopes for the different combinations of scenarios in Figure 13 result only from differences in the



**Figure 13.**  $\delta\text{D-CH}_4$  versus  $\delta^{13}\text{C-CH}_4$  for the ER-2 observations (diamonds) and model results (lines). Model points from the troposphere are not plotted.

KIEs used. Thus, the slope of the  $\delta\text{D}:\delta^{13}\text{C}$  relationship may be a useful and efficient method of testing integrated model chemistry and transport in model-model intercomparisons with a given set of KIEs (see section 4.6).

#### 4.4. Estimates of the Effects of Model Errors in Chemistry and Transport on Isotopic Compositions

[41] Discrepancies between the model results and observations may be caused by errors in model chemistry, model transport, laboratory KIEs, measurement errors, or some combination of these factors. In this section, we estimate the possible effects of model chemistry and transport errors on predicted isotopic compositions. In order to simplify the discussion, we assume that possible measurement errors for the ER-2 data are negligible by comparison. We first use the known or estimated errors in the modeled OH, Cl, and O(<sup>1</sup>D) abundances to determine if changes in each individual oxidant would improve or reduce the agreement between model results and observations for both  $\delta^{13}\text{C}\text{-CH}_4$  and  $\delta\text{D}\text{-CH}_4$ . Since the modeled  $\delta^{13}\text{C}:\text{CH}_4$  relationship is too light for all scenarios while the modeled  $\delta\text{D}:\text{CH}_4$  relationship is generally too heavy, any change made to modeled radical abundances that would improve the model:measurement agreement must simultaneously alter the two predicted isotopic compositions in opposite directions. Thus, the combination of  $\delta^{13}\text{C}\text{-CH}_4$  and  $\delta\text{D}\text{-CH}_4$  ER-2 observations provides a constraint on whether chemistry errors alone can account for the model-observation discrepancies. Similarly, we examine possible transport errors and discuss whether changes in model transport alone would improve or degrade the model-measurement agreement for both  $\delta^{13}\text{C}\text{-CH}_4$  and  $\delta\text{D}\text{-CH}_4$ .

[42] Upper limits for the change in predicted isotopic compositions caused by altering model radical abundances can be estimated through Rayleigh calculations using equations (1) and (2). Since the calculations are made assuming that (1) the largest changes in the values for  $\alpha_{\text{KIE}}^{\text{C}}$  and  $\alpha_{\text{KIE}}^{\text{H}}$  anywhere in the stratosphere resulting from a particular change in radical abundance(s) are valid everywhere in the stratosphere and (2) there is no attenuation of the  $\alpha_{\text{KIE}}$ 's by transport, these estimates should grossly overpredict the effect of known biases in the LLNL 2-D model chemistry. As discussed in section 3.1, modeled OH and Cl mixing ratios may be too low in the lower stratosphere by about 20% and up to 25%, respectively. If we were to increase Cl mixing ratios in the model by 25%, and thus increase  $f_{\text{Cl}}$ , the model would produce heavier isotopic compositions for both carbon and hydrogen, since the Cl KIEs are the largest of the three for both isotopologues. Using Rayleigh estimates, this increase in  $f_{\text{Cl}}$  would increase the predicted  $\delta^{13}\text{C}\text{-CH}_4$  by up to 3‰ at 700 ppbv CH<sub>4</sub>, which is in the right direction to improve the agreement between model results and observations, but it would simultaneously increase the discrepancy between the observations and the hydrogen isotopic composition by up to 11‰. If we were to increase  $f_{\text{OH}}$  by 25%, the predicted carbon isotopic composition would be lighter by up to 10‰, which would clearly increase the discrepancy between model results and observations. If both the OH and Cl radical abundances were increased,  $f_{\text{O}(^1\text{D})}$  would decrease. For  $\delta^{13}\text{C}\text{-CH}_4$ , the Rayleigh estimate indicates that increasing both OH and Cl by 25% (and thus decreasing  $f_{\text{O}(^1\text{D})}$ ) would yield results heavier

by  $\sim 0.5\%$ , which helps to reduce the discrepancy with observations. However, these same changes would make the model predictions for  $\delta\text{D}\text{-CH}_4$  heavier by up to 8‰, which would increase the discrepancy with observations. Thus, these changes to OH and Cl abundances, which go in the direction of the LLNL 2-D model known biases, do not appear to simultaneously reduce the discrepancies for both isotope:tracer relationships. Finally, an increase in  $f_{\text{O}(^1\text{D})}$  alone would yield lighter predicted isotopic compositions for carbon and hydrogen scenarios by as much as 0.5‰ and 10‰ respectively. This latter change would decrease the agreement for  $\delta^{13}\text{C}\text{-CH}_4$  only slightly, and greatly increase the agreement for  $\delta\text{D}\text{-CH}_4$ , a combination which might be acceptable in an attempt to improve the overall agreement. However, increasing  $f_{\text{O}(^1\text{D})}$  does not go in the direction of the known model biases, given the discussion in section 3.1 which suggested that modeled O(<sup>1</sup>D) mixing ratios are actually slightly high in the lower stratosphere, while OH and Cl are too low. Furthermore, the new *Ravishankara et al.* [2002] quenching rate coefficient for O(<sup>1</sup>D) with N<sub>2</sub> would yield a lower value for  $f_{\text{O}(^1\text{D})}$  than the JPL recommendation used in the model. Overall, these estimates do not rule out other possible chemistry errors as a source of the model-observation discrepancies at low CH<sub>4</sub> mixing ratios for both isotopes. However, these Rayleigh calculations provide estimated upper limits which show that the known biases of the LLNL 2-D model oxidants cannot simultaneously resolve the discrepancies between observations and predicted isotopic compositions for both  $\delta^{13}\text{C}\text{-CH}_4$  and  $\delta\text{D}\text{-CH}_4$ .

[43] It is also possible that inaccuracies in overall model transport may contribute to the model-observation discrepancies. In general, model transport and mixing which are too weak would result in predicted values for isotopic compositions which are heavier than the observations while transport and mixing which are too strong would result in lighter values. Thus, we expect that an increase in model transport and mixing would result in lighter  $\delta\text{D}\text{-CH}_4$  values and bring the D\_S1 model results (as well as those for D\_S3 and D\_S4) closer to the ER-2 observations. However, predicted isotopic compositions for  $\delta^{13}\text{C}\text{-CH}_4$  are too light for the same latitudes and months, so stronger transport would increase the discrepancy between  $\delta^{13}\text{C}\text{-CH}_4$  model results and ER-2 observations. Thus, altering transport alone to better reproduce one observed isotope:tracer relationship would likely result in further disagreement in the other isotope:tracer relationship. In summary, then, the analysis in this section suggests that some combination of changes in chemistry, transport, and/or KIEs may be needed to resolve the model:measurement discrepancies, which is discussed further in the following section.

#### 4.5. Implications of Model-Measurement Comparisons and Model Sensitivities for KIEs

[44] Given the limitations of uncertainties in model chemistry and transport discussed in the preceding section, we now address the question as to whether discrepancies between experimentally determined KIEs can be resolved from the combination of the  $\delta^{13}\text{C}\text{-CH}_4$  and  $\delta\text{D}\text{-CH}_4$  observations. As we outline below, only in the case of the carbon KIE for CH<sub>4</sub> + O(<sup>1</sup>D) do the observations favor one KIE over another. For all others we examine the sensitivity of the

modeled isotopic compositions to each experimental KIE for future stratospheric and tropospheric applications.

[45] For the  $\delta^{13}\text{C}:\text{CH}_4$  model-measurement comparison, results from Scenario C\_S1 (with an OH KIE of 1.0054) and Scenario C\_S3 (with an OH KIE of 1.0039) demonstrate that this small difference in OH KIEs yields very little difference in predicted  $\delta^{13}\text{C}:\text{CH}_4$  relationships in the stratosphere. Thus, additional experimental work to resolve the discrepancy between these values for the carbon OH KIE is not needed for stratospheric applications, but is still important for tropospheric studies [e.g., *McCarthy et al.*, 2001]. Likewise, the small difference between the *Tyler et al.* [2000] and *Saueressig et al.* [1996] Cl KIEs cannot be resolved using the ER-2 observations since the predicted difference between C\_S1 and C\_S4 is likely smaller than uncertainties in model chemistry and transport. In either case, however, the carbon Cl KIE appears to be reasonably well constrained compared to the other KIEs for both stratospheric and tropospheric applications. In contrast, the order of magnitude difference in the  $\text{O}(\text{D})$  KIEs of 1.013 (Scenario C\_S1) and 1.001 (Scenario C\_S2) results in differences in stratospheric  $\delta^{13}\text{C}:\text{CH}_4$  of  $\sim 2\%$  or larger at low CH<sub>4</sub> mixing ratios. This difference is larger than the model uncertainties in radical abundances would suggest (see section 4.3, as well as *Wang et al.* [2002]), particularly when the double constraint from the  $\delta\text{D}:\text{CH}_4$  observations is taken into account. Using the larger  $\text{O}(\text{D})$  KIE of 1.013 results in a heavier  $\delta^{13}\text{C}:\text{CH}_4$  relationship that is both much closer to the ER-2 observations than using an  $\text{O}(\text{D})$  KIE of 1.001 and has a more realistic curvature given both the ER-2 and the Sugawara balloon observations. These results suggest that the  $\text{O}(\text{D})$  KIE is indeed as large as 1.013. This conclusion is similar to that reached using results from the Harvard 2-D model in a comparison with the balloon  $\delta^{13}\text{C}:\text{CH}_4$  observations [*Wang et al.*, 2002], despite the large differences in transport and chemistry between these two models (see sections 3.1 and 3.2). In order to refine model predictions of the  $\delta^{13}\text{C}:\text{CH}_4$  of stratospheric methane, additional experimental work measuring the KIE for  $\text{O}(\text{D}) + \text{CH}_4$  would therefore be valuable.

[46] For the  $\delta\text{D}:\text{CH}_4$  model-measurement comparison, we are unable to conclusively differentiate among possible values for individual hydrogen experimental KIEs. To within the combined potential uncertainties in model transport and model chemistry, all of the hydrogen KIE model scenarios except D\_S1 predict the observations reasonably well. A comparison of all scenarios at CH<sub>4</sub> < 1200 ppbv indicates that any one or more of the KIEs could contribute to the discrepancy between D\_S1 and observations. Lowering the Gierczak OH KIE by its 1 $\sigma$  uncertainty, the Saueressig Cl KIE by its 2 $\sigma$  uncertainty, or changing the Saueressig  $\text{O}(\text{D})$  KIE to unity all bring these scenarios (D\_S3, D\_S4, and D\_S2) significantly closer to observations. Thus, the uncertainties in each experimental value for the hydrogen KIEs are too large to determine which one(s) may be most likely to contribute to the discrepancy between D\_S1 and the observations. Although the DeMore OH KIE in Scenario D\_S5 results in a  $\delta\text{D}:\text{CH}_4$  relationship that is systematically closer to the observations for CH<sub>4</sub> < 1300 ppbv, uncertainties in model chemistry and transport could erroneously contribute to at least some of this agreement.

[47] Additionally, all model scenarios predict  $\delta\text{D}:\text{CH}_4$  values that are heavier than the observations by  $\sim 10\%$  between CH<sub>4</sub> mixing ratios of  $\sim 1200$  and 1650 ppbv despite the fact that the model is prescribed to match the  $\delta\text{D}:\text{CH}_4$  relationship for air entering the stratosphere (i.e., at 1720 ppbv CH<sub>4</sub>). Within the context of the excellent agreement for  $\delta^{13}\text{C}:\text{CH}_4$  at CH<sub>4</sub> mixing ratios >1200 ppbv, the discrepancy at similar mixing ratios for  $\delta\text{D}:\text{CH}_4$  suggests that the OH KIE may be the most likely candidate for reduction. Since CH<sub>4</sub> oxidation in the lower stratosphere is dominated by reaction with OH, it is possible that the magnitudes of the Gierczak and DeMore OH KIEs used in the model may be too large at the low temperatures in the lower stratosphere. However, there are other possible explanations for the discrepancy. First, the Cl KIEs could also be too high at low temperatures, even though Cl is responsible for a smaller fraction of CH<sub>4</sub> oxidation in the lower stratosphere. Second, model transport may be too weak in the lower stratosphere, and thus would not adequately attenuate the  $\alpha_{\text{KIE}}$  to match the  $\alpha_{\text{app}}$ . However, increasing model transport may also attenuate the predicted value of the carbon isotopic composition, which would reduce model-measurement agreement. Finally, a combination of inaccuracies in modeled radical abundances and model transport might also be responsible for the model-observation discrepancies at high and low CH<sub>4</sub> mixing ratios for  $\delta^{13}\text{C}:\text{CH}_4$  and  $\delta\text{D}:\text{CH}_4$ . Regardless of the factor(s) responsible for the discrepancy, however, we cannot reconcile the D\_S1 KIEs with observations using known biases in the LLNL 2-D model without causing increased discrepancies for the  $\delta^{13}\text{C}:\text{CH}_4$  scenarios. The results of this analysis therefore suggest that additional experimental work on the hydrogen KIEs for CH<sub>4</sub> may be needed for both tropospheric and stratospheric applications. Low temperature KIE studies in particular would aid future stratospheric modeling work. In addition, the discrepancy between the Gierczak and DeMore values for the OH KIE at tropospheric temperatures results in a large difference in  $\alpha_{\text{KIE}}^{\text{H}}$ 's in the troposphere, as seen by comparing Figures 10a and 10b. The discrepancy in these two values will lead to significant differences in predicted  $\delta\text{D}:\text{CH}_4$  in the free troposphere.

#### 4.6. Model-Model Comparisons of $\delta^{13}\text{C}:\text{CH}_4$ and $\delta\text{D}:\text{CH}_4$ as a Diagnostic for Integrated Chemistry and Transport

[48] The analyses here also suggest that intercomparisons of results from different models with stratospheric observations of the  $\delta^{13}\text{C}:\text{CH}_4$  and  $\delta\text{D}:\text{CH}_4$  relationships may be useful as a new diagnostic of integrated chemistry and transport in models. For example, the majority of the published CH<sub>4</sub> isotope modeling studies focused on the altitude and latitude distribution of stratospheric  $\delta^{13}\text{C}:\text{CH}_4$  [*Gupta et al.*, 1996; *Bergamaschi et al.*, 1996; *Tyler et al.*, 1999]. However, by focusing on isotope:tracer relationships, a comparison of the LLNL 2-D and Harvard 2-D model [*Wang et al.*, 2002] reveals significant differences between them which are difficult to discern from contour plots alone. The LLNL 2-D model simulated the observed ER-2  $\delta^{13}\text{C}:\text{CH}_4$  relationship relatively closely for C\_S1, to within 0.2% at 900 ppbv. However, the Harvard 2-D model is 1 to 2% lower than the LLNL 2-D model using the same KIEs for comparable latitudes [*Wang et al.*, 2002]. This difference is consistent with Cl abundances in the Harvard

2-D model that are lower than both observations and the LLNL 2-D model predictions by a factor of 2 to 3 in the lower stratosphere. In addition, the new ER-2 observations allow comparisons of the  $\delta\text{D}:\delta^{13}\text{C}$  relationship to be used as an additional constraint on integrated model chemistry and transport while minimizing the need to select specific model latitudes for comparison, as discussed in section 4.3. While assessing absolute agreement between model results and stratospheric observations will require additional laboratory measurements to resolve discrepancies among the experimentally determined values for the KIEs, the relative agreement between model results for different models using the same set of KIEs can be used to reveal important differences in integrated Cl, OH, and O(<sup>1</sup>D) abundances and in transport characteristics between the models. These differences could then be further examined against additional tracers that are also sensitive to OH, Cl, or O(<sup>1</sup>D) mixing ratios.

## 5. Summary and Conclusions

[49] By incorporating sets of isotope-specific rate coefficients into the LLNL 2-D model, the carbon and hydrogen isotopic compositions of stratospheric methane were simulated. By comparing the modeled  $\delta^{13}\text{C}:\text{CH}_4$  and  $\delta\text{D}:\text{CH}_4$  relationships with new observations from the ER-2 aircraft [Rice *et al.*, 2003] and older balloon measurements [Sugawara *et al.*, 1997], we analyzed various model sensitivities to different sets of experimentally determined KIEs. Differences between model results and the observations could be due to uncertainties in the KIEs, errors in model chemistry or transport, measurement errors, or some combination of these factors. We estimated different possible sources of model error using observations of CH<sub>4</sub> mixing ratios, CH<sub>4</sub>:N<sub>2</sub>O correlations, radical abundances, and mean ages, and comparisons of both  $\delta^{13}\text{C}:\text{CH}_4$  and  $\delta\text{D}:\text{CH}_4$ . Model results clearly showed that the apparent fractionation factors increase as CH<sub>4</sub> mixing ratios decrease due to changes in the fraction of CH<sub>4</sub> oxidized by each of the oxidants OH, Cl, and O(<sup>1</sup>D), as hypothesized in Rice *et al.* [2003]. The 2-D model also demonstrated the importance of transport in determining isotopic compositions, particularly in the contrast between the tropics and the extratropics.

[50] We also assessed whether the stratospheric observations could be used to gain insight into discrepancies between experimental values for the various hydrogen and carbon KIEs. In the case of the order of magnitude difference between carbon KIEs for the reaction of CH<sub>4</sub> with O(<sup>1</sup>D), our analysis strongly suggests that the larger KIE of 1.013 is required to reproduce the observed  $\delta^{13}\text{C}:\text{CH}_4$  relationship. In the analysis of model scenarios comparing all other KIEs, it was difficult to distinguish between uncertainties in experimental values for KIEs and the estimated effects of model uncertainties. For example, discrepancies between the experimental values for the carbon KIEs of OH are too small to alter stratospheric  $\delta^{13}\text{C}:\text{CH}_4$  beyond uncertainties in model chemistry and transport. For the hydrogen KIEs, model-measurement comparisons at low CH<sub>4</sub> mixing ratios may indicate that any one or more of the KIEs is too large. At high CH<sub>4</sub> mixing ratios, model results for  $\delta\text{D}:\text{CH}_4$  are suggestive that one or both of the hydrogen KIEs for reaction with OH and

Cl are too large at low stratospheric temperatures. However, the disagreement between the model results and observations for all hydrogen KIE scenarios could also be due to difficulties in simulating stratospheric transport or radical abundances in the lower stratosphere.

[51] Finally, the comparisons of 2-D model results with stratospheric observations presented here point to several specific field, modeling, and laboratory studies that should be pursued. Of high importance is the acquisition of high-precision measurements of  $\delta^{13}\text{C}:\text{CH}_4$  and  $\delta\text{D}:\text{CH}_4$  in the tropics that extend to high altitudes (>30 km). Such tropical observations would provide important new constraints on the underlying carbon and hydrogen KIEs and would allow a significant extension of the analysis presented here, as well as providing new diagnostics for model-model comparisons. Future modeling work suggested by this study, in addition to pursuing the model-model intercomparisons noted above, might also include using a least-squares method of determining the set of KIEs required to reproduce observed  $\delta^{13}\text{C}:\text{CH}_4:\text{CH}_4$ ,  $\delta\text{D}:\text{CH}_4:\text{CH}_4$ , and  $\delta\text{D}:\text{CH}_4:\delta^{13}\text{C}:\text{CH}_4$  relationships at mid-latitudes with a simple 1D model that uses transport coefficients known to reproduce correlations between other long-lived tracer correlations, such as the correlation between CO<sub>2</sub> and N<sub>2</sub>O [e.g., Wofsy *et al.*, 1994]; sensitivities to values for KIEs and prescribed radical concentrations could be more rapidly evaluated in this way rather than full implementation of different sets of KIEs in a 2-D model. Additional laboratory studies of the magnitudes of the KIEs and their temperature dependences are also of high importance. These new laboratory measurements may help to resolve current discrepancies among experimental values that cannot be distinguished in the model study presented here, and will ultimately allow the stratospheric isotope observations to serve as diagnostics of model chemistry and transport in the future.

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